

## **1220-C – PRE-ADMISSION SCREENING AND RESIDENT REVIEW (PASRR)**

EFFECTIVE DATES: 02/14/96, 10/01/17

REVISION DATES: 03/14/97, 02/01/01, 10/01/01, 10/01/04, 03/01/06, 10/01/07, 07/01/12,  
07/01/16, 07/20/17

### **I. PURPOSE**

This Policy applies to ALTCS/EPD, DES/DDD Contractors; Fee-For-Services (FFS) Tribal ALTCS Programs as delineated within this policy, excluding Federal Emergency Services (FES). (For FES, see AMPM Chapter 1100).

### **II. DEFINITIONS**

#### **SPECIALIZED SERVICES**

For mental illness, the services specified by the State which, combined with services provided by the Nursing Facility (NF), results in the continuous and aggressive implementation of an individualized plan of care that:

1. Is developed and supervised by an interdisciplinary team, which includes a physician, qualified mental health professionals and, as appropriate, other professionals.
2. Prescribes specific therapies and activities for the treatment of persons experiencing an acute episode of serious mental illness, which necessitates supervision by trained mental health personnel, and
3. Is directed toward diagnosing and reducing the resident's behavioral symptoms that necessitated institutionalization, improving his or her level of independent functioning, and achieving a functioning level that permits reduction in the intensity of mental health services to below the level of specialized services at the earliest possible time.

For intellectual disability, the services specified by the State which, combined with services provided by the NF or other service providers, results in treatment which meets the requirements of 42 CFR 483.440(a)(1).

#### **TREATMENT PLAN**

A written plan of services and therapeutic interventions based on a complete assessment of a member's developmental and health status, strengths and needs that are designed and periodically updated by the multi-specialty, interdisciplinary team.

### **III. POLICY**

- A.** AHCCCS registered Nursing Facilities (NFs) must complete a Level I PASRR screening, or verify that a screening has been conducted, in order to identify Mental Illness (MI) and/or an Intellectual Disability (ID) prior to initial admission of individuals to a NF bed that is Medicaid certified or dually certified for Medicaid/Medicare (42 CFR 483.100 - 483.138, 42 CFR 483.112).
1. The PASRR screening consists of a two-stage identification and evaluation process and is conducted to assure appropriate placement and treatment for those individuals identified with MI and/or ID.
    - a. Level I reviews are used to determine whether the individual has any diagnosis or other presenting evidence that suggests the potential for MI and/or ID. AMPM Policy 1220, Attachment A provides a copy of the Level I PASRR form.
    - b. Level II reviews are conducted by the Department of Economic Security (DES) for individuals with ID and coordinated by AHCCCS for individuals with a MI to further evaluate and make a determination as to whether the individual is indeed MI or has an ID. It also determines whether the individual needs the level of care provided in a NF and/or needs specialized services as defined in paragraph 8 of this section for MI or paragraph 7 for ID. An Intergovernmental Agreement between AHCCCS and DES is in place to initiate the Level II process. AMPM Policy 1220, Attachment B provides a copy of the Level II Psychiatric Evaluation form to be used when screening an individual for MI and AMPM Policy 1220, Attachment C provides a copy of the invoice to be used.
      - i. PASRR Level II determinations for individuals with ID must be completed within nine business days of the referral,
      - ii. PASRR Level II determinations for individuals with MI must be completed within five business days of the referral.
    - c. Determinations may be conveyed verbally to nursing facilities and to the individual and must be confirmed in writing.
  2. ALTCS case managers may conduct Level I PASRR screenings, but it is the ultimate responsibility of the NF to assure it is completed prior to admission to the NF. The PASRR must be completed by medical professionals such as hospital discharge planners, nurses or social workers.
  3. A Level I PASRR screening is not required for readmissions of individuals who were hospitalized and are returning to the NF, or for inter-facility transfers from another NF. All PASRR screening information should accompany the readmitted or transferred individual.

4. If the individual is to be admitted to the NF for a convalescent period, or respite care, not to exceed 30 consecutive days, a PASRR Level I screening is not required. If it is later determined that the admission will last longer than 30 consecutive days, a Level I PASRR screening must be completed as soon as possible or within 40 calendar days of the admission date.
5. It is the responsibility of the NF or the ALTCS Contractor to make referrals for Level II PASRR if determined necessary. The NF or ALTCS Contractor shall contact the AHCCCS PASRR Coordinator for a Level II evaluation of MI at [PASRRProgram@azahcccs.gov](mailto:PASRRProgram@azahcccs.gov). The DES PASRR Coordinator shall be contacted for Level II PASRRs of individuals with an ID.
6. The outcome of the Level II PASRR will determine action to be taken by the NF. If the individual requires NF services, he/she may be admitted. All ALTCS enrolled members are appropriate for a nursing level of care as determined by the ALTCS Pre-Admission Screening (PAS) tool for medical eligibility. If a member is admitted and is determined to need specialized services, the NF should contact the member's case manager to arrange for the required services. If the outcome of the Level II PASRR determines the individual does not require NF services or specialized services, no admission shall take place.
7. The need for specialized services for individuals with an ID as specified by DES will result in the implementation of an individualized treatment plan that:
  - a. Allows the acquisition of skills necessary for the ALTCS individual to function as independently as possible, and
  - b. Prevents or decreases regression or loss of the ALTCS individual's current optimal level of functioning.
8. The need for specialized services for individuals with a MI as the result of a Level II PASRR evaluation will result in the implementation of an individualized treatment plan that:
  - a. Is developed and supervised by an interdisciplinary team composed of a physician, qualified behavioral health professionals, and other professionals,
  - b. Prescribes specific therapies and services for the treatment of ALTCS individuals experiencing an acute episode of mental illness which requires intervention by trained behavioral health personnel, and
  - c. Reduces the individual's behavioral symptoms and improves the individual's level of functioning.

9. If the individual's mental health condition changes, or new medical records become available that indicate the need for a Level II PASRR, a new Level I screening must be completed as soon as possible and a referral made.
10. Any individual can request a hearing when he or she believes the State has made an erroneous determination with regard to the preadmission and annual resident review requirements of 42 CFR, Subpart C. The AHCCCS rules for the administrative dispute resolution process are delineated in 9 A.A.C. 34.
11. For individuals who have a Serious Mental Illness (SMI) designation, appeals shall be processed in accordance with A.A.C. R9-21-401 and ACOM Policy 444 (Contractors).

IMPLEMENTATION 10/01/18