

ANNUAL ATTESTATION OF: DISCLOSURE OF OWNERSHIP & CONTROL
AND
DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF A CRIME



CONTRACTOR'S NAME

DATE:

1. ***Disclosure of Ownership and Control*** (42 CFR 455.104) (SMDL #09-001)
- The Contractor attests that it has requested and obtained the required information on ownership and control from any individual, corporation, provider, or fiscal agent.

Or

- Disclosure of Ownership and Control*** (42 CFR 455.104) (SMDL #09-001)
- The Contractor attests that it is an agency of the State of Arizona and as such, has no person or corporation with an ownership or control interest in the Contractor, as defined in 42 CFR 455.101.

2. ***Disclosure of Information on Persons Convicted of Crimes*** (42 CFR 455.101; 106; 436) (SMDL #09-001)
- The Contractor attests that on a monthly basis, it has confirmed the identity of and determined the exclusion status of all persons associated with the Contractor and fiscal agents which have an ownership or control interest or managing employee interest.
- And
- The Contractor attests that they have immediately notified AHCCCS of any person who has been excluded through these checks.

(Signature)

(Date)

Reference: Contract Section D, Program Requirements, Corporate Compliance Paragraph