

417 - APPOINTMENT AVAILABILITY, TRANSPORTATION TIMELINESS, MONITORING, AND REPORTING

EFFECTIVE DATES: 10/01/12, 10/01/13, 04/01/15, 07/01/16, 10/01/16, 10/01/17, 10/01/18, 10/01/19, 10/01/20, 10/01/21, 10/01/22, 10/01/23, 10/01/24, 10/01/25, 10/01/26

APPROVAL DATES: 01/08/08, 06/26/12, 10/24/12, 07/03/13, 03/05/15, 04/02/15, 05/11/16, 10/20/16, 03/30/17, 06/15/17, 04/05/18, 08/01/19, 04/23/20, 03/18/21, 04/28/22, 06/13/23, 06/04/24, 04/30/25, 07/24/26

I. PURPOSE

This Policy applies to ACC, ACC-RBHA, ALTCS E/PD, DCS CHP(CHP), and DES DDD (DDD) Contractors. This Policy establishes appointment accessibility and availability standards and establishes a common process for Contractors to monitor and report appointment accessibility and availability. The Contractor is responsible for adhering to all requirements as specified in Contract, Policy, 42 CFR Part 457 and 42 CFR Part 438. These Policy requirements do not apply to emergency conditions.

II. DEFINITIONS

Refer to the [AHCCCS ACOM and AMPM Dictionary](#) for common terms found in this Policy.

III. POLICY

A. MONITORING APPOINTMENT STANDARDS

1. The Contractor shall ensure adherence to service accessibility standards and the following contractual appointment standards [42 CFR 457.1230(a), 42 CFR 438.206].
2. The Contractor shall provide a comprehensive provider network that provides access to all services covered under the contract for all members. If the Contractor's network is unable to provide medically necessary services required under contract, the Contractor shall adequately and timely cover these services through an out of network provider until a network provider is contracted. [42 CFR 457.1230(a), 42 CFR 438.206(b)(4)].
3. The Contractor shall use the results of appointment standards monitoring to validate it has an adequate network of providers ensuring timely service coverage, and to reduce unnecessary emergency department utilization.
4. The Contractor shall have written policies and procedures about educating its provider network regarding appointment time requirements. The Contractor shall develop a Corrective Action Plan (CAP) when appointment standards are not met. In addition, the Contractor shall develop a corrective action plan in conjunction with the provider when appropriate [42 CFR 457.1230(a), 42 CFR 438.206(c)(1)] [(i)-(vi)].

B. GENERAL APPOINTMENT STANDARDS FOR ALL CONTRACTORS

1. For Primary Care Provider (PCP) Appointments:
 - a. Urgent care appointments as expeditiously as the member's health condition requires but no later than two business days of request, and
 - b. Routine care appointments within 21 calendar days of request.
2. For Specialty Provider Appointments, including Dental Specialists:
 - a. Urgent care appointments as expeditiously as the member's health condition requires but no later than two business days from the request, and
 - b. Routine care appointments within 45 calendar days of referral.
3. For Dental Provider Appointments:
 - a. Urgent appointments as expeditiously as the member's health condition requires but no later than three business days of request,
 - b. Routine care appointments within 45 calendar days of request, and
 - c. For CHP only routine care appointments within 30 calendar days of request.
4. For Maternity Care Provider Appointments, initial prenatal care appointments with the provider for enrolled pregnant members shall be provided as follows:
 - a. First trimester - within 14 calendar days of request,
 - b. Second trimester - within seven calendar days of request,
 - c. Third trimester - within three business days of request, and
 - d. High risk pregnancies as expeditiously as the member's health condition requires and no later than three business days of identification of high risk by the Contractor or maternity care provider or immediately if an emergency exists.

C. PSYCHOTROPIC MEDICATION APPOINTMENT STANDARDS FOR ALL CONTRACTORS

1. Assess the urgency of the need immediately.
2. Provide an appointment, if clinically indicated, with a practitioner who can prescribe psychotropic medications within a timeframe that ensures the member:
 - a. Does not run out of needed medications, or
 - b. Does not decline in their behavioral health condition prior to starting medication, but no later than 30 calendar days from the identification of need.

D. GENERAL BEHAVIORAL HEALTH APPOINTMENT STANDARDS FOR ACC, ACC-RBHA, ALTCS E/PD, AND DDD CONTRACTORS

1. For Behavioral Health Provider Appointments:
 - a. Urgent need appointments – As expeditiously as the member's health condition requires but no later than 24 hours from identification of need,
 - b. Initial assessment – Within seven calendar days after the initial referral or request for behavioral health services,

- c. Initial appointment – Within timeframes indicated by clinical need:
 - i. For members aged 18 years or older, no later than 23 calendar days after the initial assessment, and
 - ii. For members under the age of 18 years old, no later than 21 calendar days after the initial assessment.
- d. Subsequent behavioral health services - Within the timeframes according to the needs of the person, but no longer than 45 calendar days from identification of need.

E. BEHAVIORAL HEALTH APPOINTMENT STANDARDS FOR PERSONS IN LEGAL CUSTODY OF THE ARIZONA DEPARTMENT OF CHILD SAFETY (DCS) AND ADOPTED CHILDREN IN ACCORDANCE WITH ARS 8-512.01

- 1. The Behavioral health appointments standards for:
 - a. Rapid Response - When a child enters out-of-home placement within the timeframe indicated by the behavioral health condition, but no later than 72 hours after notification by the Arizona Department of Child Safety (DCS) that a child has been or will be removed from their home,
 - b. Screening and Evaluation - Within seven calendar days after the initial referral or any subsequent initial request for behavioral health services,
 - c. Initial appointment - Within timeframes indicated by clinical need, but no later than 21 calendar days after any screening and evaluation, and
 - d. Subsequent Behavioral Health Services - Within timeframes according to the needs of the person, but no later than 21 calendar days from any screening and assessment.

The appointment standards for members in the legal custody of the DCS and adopted children are intended to monitor appointment accessibility and availability. For additional information on behavioral health services for persons in the legal custody of DCS and adopted children in accordance with ARS 8-512.01, refer to ACOM Policy 449.

F. PROVIDER APPOINTMENT AVAILABILITY REVIEW

The Contractor is required to conduct regular reviews of providers to assess the availability of routine and urgent appointments for primary care, specialist, dental, behavioral health providers, and behavioral health appointments for persons in the legal custody of DCS and adopted children. The Contractor shall also review the availability of routine and urgent appointments for maternity care providers relating to the first, second, and third trimesters, as well as high risk pregnancies. An appointment available to be delivered through telehealth is considered an available appointment where clinically appropriate.

The Contractor shall conduct provider appointment availability reviews as a method to ensure sufficient provider network capacity. These reviews can be conducted for all providers or a statistically relevant sample of providers throughout the Contract year (CY). The appropriate methods for conducting these reviews include:

- 1. Appointment schedule review that independently validates appointment availability.

2. Secret shopper phone calls that anonymously validate appointment availability.
3. Other methods approved by AHCCCS.

The Contractor may supplement these efforts by targeting specific providers identified through performance monitoring systems such as the 1800 Report, Quality of Care (QOC) concerns, complaints, grievances, and the credentialing process.

If the Contractor plans to change its existing methodologies for its Appointment Availability Reviews, the Contractor shall submit an outline of planned changes and an anticipated timeframe for submission of the proposed changes in a cover letter.

To obtain approval for any additional methods, the Contractor shall submit a request for approval of Appointment Availability Review Methods as specified in Contract, Section F, Attachment F3, Contractor Chart of Deliverables, outlining details (including scope, selection criteria, and any tools used to collect the information) prior to implementing the proposed method, as specified in Contract.

G. TRANSPORTATION TIMELINESS REVIEW

The Contractor is responsible for ensuring that any subcontracted transportation vendors comply with all requirements necessary to meet Medicaid transportation standards. The Contractor may develop and implement additional deliverables, reporting requirements, or performance expectations for subcontracted transportation vendors as needed to ensure compliance with all applicable Medicaid transportation regulations, service standards, and contractual obligations.

For medically necessary non-emergent transportation, the Contractor shall ensure that a member arrives on time for an appointment, but no sooner than one hour before the appointment; nor have to wait more than one hour after the conclusion of the treatment for transportation home; nor be picked up prior to the completion of treatment.

1. The Contractor shall ensure 95% of all combined completed pickup and drop off trips in a quarter are completed timely, in accordance with timeliness requirements outlined above.
2. The Contractor shall perform monthly monitoring of transportation vendors and evaluate all subcontracted transportation vendors for compliance with these standards and require corrective action if standards are not met.
3. The Contractor shall also track scheduled trips that were not completed as defined in Attachment B.

H. TRACKING AND REPORTING

The Contractor shall track provider compliance with appointment availability and transportation timeliness as specified in Contract Section F, Attachment F3, Contract Chart of Deliverables and outlined below:

1. The Contractor shall submit its Appointment Availability Review utilizing Attachment A and include a cover letter including, at a minimum, the following:
 - a. A description of the methods used to collect information,
 - b. An explanation of whether the Contractor is surveying all providers in their network or a sample. If the Contractor is selecting a sample, the explanation shall include the methodology for how the sample size meets a 95% statistically significant confidence level, including the calculations used to confirm the confidence level,
 - c. A summary of the findings and an explanation of trends in either direction (positive or negative),
 - d. An analysis of the potential causes for these findings and trends, and
 - e. A description of any interventions applied to areas of concern including any corrective actions taken.
2. The Contractor shall submit a separate Transportation Timeliness Review utilizing Attachment B for each Line of Business (LOB) as specified in contract section F, Attachment F3, Contractor Chart of Deliverables, and include a cover letter for each separate submission including, at a minimum, the following:
 - a. A summary of the findings including any identified positive or negative trends for timeliness, Incomplete Trips, and their reasons for each submission,
 - b. An analysis of the potential causes for these findings and trends, and
 - c. A description of any interventions applied to areas of concern including any corrective actions taken.

The Contractor shall provide corrective action steps for any reporting quarter where the average percentage of all timely completed trips for that quarter falls below the performance target of 95%. These corrective action steps shall include a timeline to meet the performance target.

3. Both DDD and CHP also submit a copy of Attachment A and B, for each of their Subcontracted Health Plans. Both DDD and CHP shall also submit a cover letter containing the information as specified above related to their Subcontracted Health Plans.