Back To Basics
Immunizations Part 2

6/13/18
VFC and ASIIS

Provider Responsibility

Reaching across Arizona to provide comprehensive quality health care for those in need
Urgent Reminder

• Health plans need to remind their VFC providers that re-enrollment must be completed no later than June 30

• Any questions should be addressed to ADHS and the VFC Office
ADHS Re-Enrollment Assistance

Do you know that the 2018 VFC re-enrollment period ends on June 30, 2018?

**ACT NOW to avoid inactivation, vaccine delivery delays and possible re-assignment of your sites AHCCCS panel.**

For assistance re-enrolling please contact our office at:

**Arizona Immunization Program Office**
150 N. 18th Avenue, Suite 120
Phoenix, AZ 85007
Phone: 602-364-3642
Fax: 602-364-3276

Stay connected with us:

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Subscriber Services:
Manage Subscriptions | Unsubscribe All | Help
VFC Re-Enrollment

• Currently:
  o There are 28 pending applications
  o 40 applications have been returned for additional documentation
  o Health plans should remind providers to re-enroll or lose their panel of members
  o Health plan representatives doing site visits are strongly encouraged to attend one of the AIPO sessions
AIPO Training Schedule

AIPO Train
Arizona Immunization Program Office

Keeping on track with ASIIS and Vaccine Storage and Handling

**Dates and Locations:**

<table>
<thead>
<tr>
<th>Date</th>
<th>Location</th>
<th>County</th>
</tr>
</thead>
<tbody>
<tr>
<td>June 20, 2018</td>
<td>Phoenix</td>
<td>Maricopa</td>
</tr>
<tr>
<td>August 1, 2018</td>
<td>Phoenix</td>
<td>Maricopa</td>
</tr>
<tr>
<td>August 22, 2018</td>
<td>Show Low</td>
<td>Navajo</td>
</tr>
<tr>
<td>September 19, 2018</td>
<td>Kingman</td>
<td>Mohave</td>
</tr>
<tr>
<td>October 24, 2018</td>
<td>Sierra Vista</td>
<td>Cochise</td>
</tr>
<tr>
<td>November 14, 2018</td>
<td>Yuma</td>
<td>Yuma</td>
</tr>
<tr>
<td>December 5, 2018</td>
<td>Tucson</td>
<td>Pima</td>
</tr>
</tbody>
</table>

*Future dates and location to come in 2019*

**Session Times:**
8:30am to 12:30pm

**Register:**
https://asiishelpdesk.wixsite.com/aipotrain

**Details:**
- FREE EVENT
- Lunch will not be provided.
- Space is limited. First come, first served!
- Registration will open six weeks before scheduled date. Registered guests will receive a reminder email a week before the event.
Fig 3. Heat map of counties with >400 kindergarteners with NMEs in 2016 to 2017.


http://journals.plos.org/plosmedicine/article?id=10.1371/journal.pmed.1002578
The Rise of Nonmedical Exemptions

- http://journals.plos.org/plosmedicine/article?id=10.1371/journal.pmed.1002578
Arizona Statutory Requirements

- A.R.S. §15-871 through §15-874
- A.A.C. Title 9, Article 7
- Arizona Department of Education regulations
- Bureau of Child Care Licensure (ADHS)
ADHS Arizona Immunization Program

Let’s Go To The ADHS Website

Arizona Immunization Program

Reaching across Arizona to provide comprehensive quality health care for those in need
Guide for Birth to 15 Months
## Arizona State Immunization Requirements:
Birth to 5 Years of Age - Child Care and Preschool

Because children who attend child care are at greater risk of exposure to illness, Arizona state law requires that some immunizations be completed at the beginning of the age range listed on the recommended immunization schedule found at [http://www.cdc.gov/vaccines/schedules/index.html](http://www.cdc.gov/vaccines/schedules/index.html). Exceptions, minimum intervals and a “catch up” schedule are on the back of this handout. Updates to these requirements will be posted at [http://www.azdhs.gov/pubs/immun/backtoschool.htm](http://www.azdhs.gov/pubs/immun/backtoschool.htm).

### Required at Birth
- Hep B #1

### Required at 2 months
- DTaP #1
- Polio #1
- Hib #1
- Hep B #1

### Required at 4 months
- DTaP #2
- Polio #2
- Hib #2
- Hep B #2

### Required at 6 months
- Hib #3
- IfPedrix Hib is used, the 3rd dose of Hib is due until 12-15 months of age.

### Required at 12 months
- DTaP #3
- Hib #4
- IfPedrix Ep is given before 24 weeks of age, a 4th dose is needed.
- Hep B #4 (Booster)

### Required at 15 months
- DTaP #4
- Hib #4 (Booster)
- If no Hib dose is given after 12 months of age, a 1st dose of Hib is due when 12 months of age.
- If no Hib dose is given after 15 months of age, a 2nd dose of Hib is due when 15 months of age.

### Summary of vaccines required for all children:
- 15 months – Pre-kindergarten
- 4 DTaP, 3 Polio, 1 MMR, 1 Varicella, 3 Hep B

### Kindergarten Entry
- 1st grade:
  - 5 DTaP, 4 Polio, 2 MMR, 1 Varicella & 1 Hep B
  - Children who received DTaP#4 and/or Polio #4 at 4th birthday do not need additional doses for Kindergarten.
  - Hepatitis A and Hib are not required for Kindergarten.

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**Arizona Department of Health Services, Immunization Program Office**

**AHCCCS**
Arizona Health Care Cost Containment System

**Revised July 2017**
Children Care and Preschool

Exceptions and Conditions to the Rules

Parents whose religious beliefs do not allow immunization must sign a religious exemption form. A medical exemption form must be signed by the child’s physician or nurse practitioner if there is lab evidence of immunity or a medical reason why the child cannot receive a particular vaccine(s). A copy of the lab results should be attached to the medical exemption form and kept on file with the immunization record to prove the child’s immunity.

- A child who is missing vaccines required for his age can start child care but must get a dose of each vaccine due within 15 days of enrollment and bring a copy of the immunization record completed by the clinic to the child care setting. After 15 days, the child may not attend child care without documentation that the child has received the required vaccinations or has a valid medical or religious exemption.

CATCH UP SCHEDULE for children missing immunizations:

DTaP: The 2nd dose is due 1 month after the 1st dose; the 3rd dose is due 1 month after the 2nd dose; the 4th dose is due 6 months after the 3rd dose.

Poliio: The 2nd dose is due 1 month after the 1st dose; the 3rd dose is due 1 month after the 2nd dose. If the child is 4 years of age, the 4th Polio may qualify as the child’s final dose and a 6-month space is needed between the last two Polio doses.

Hep B: The 2nd dose is due 1 month after the 1st dose; the 3rd dose is due 2 months after the 2nd dose; however, there must be at least 16 weeks (4 months) between doses 1 and dose 3. If Hep B #3 was given before 24 weeks of age, a 4th dose is needed.

Hib if child is less than 1 year: doses are given 2 months apart. If child is at least 15 months old and less than 5 years, a single dose is needed to catch up.

MMR: The 1st dose is required at 12 months of age. A 2nd dose is required for kindergarten entry.

Varicella: The 1st dose is required at 12 months of age. Children must have proof of immunization, or a valid exemption for medical reasons, lab evidence of immunity or religious beliefs. As most children are enrolled in child care, preschool or Head Start were born 9/1/11 and after, parental recall of chickenpox disease is no longer acceptable as proof of immunity.

Hep A: Maricopa County Only Children 1 through 5 years of age are required to obtain dose #1 within 15 days of enrollment in child care, preschool or Head Start. Dose #2 is due 6 months after dose #1.

Important Note: MMR and Varicella may be given on the same day. If they are not given on the same day, they must be separated by at least 28 days.

- Children who are missing required shots must stay on the above “catch up” schedule to attend child care. A 15-day notice must be given to parents whose children fall behind. If they do not provide proof of shots after 15 days, the child must be excluded from care if proof is given.

- What proof of immunizations is needed? Copies of an Arizona Lifetime Immunization Record, shot records signed or stamped by the healthcare provider, and/or records from the Arizona State Immunization Information System (ASISTS) are acceptable forms of records and must be kept on file at the child care facility.

Arizona Department of Health Services, Immunization Program Office
602-364-3630 or 866-232-2329
Revised July 2017
Work Flow for School Immunizations

Flowchart for School Immunizations

New Student Attends/Enters School

Parent/Guardian is notified of Immunization Rule (No shots, No records, No school)

Parent/Guardian provides school with:
- Complete Vaccination Record OR
- Signed Valid Exemption Form
  Admit Student

- No vaccination record or
- Incomplete vaccination record

Provide parent/guardian with Immunization Screening and Referral Form

Action is taken:
- Student is in-between doses in a vaccine series or an appointment has been made – Admit Student
- On Conditional Admission – set Compliance Date
- Vaccinations are received, documents provided to school – Admit Student
- Parent signs Exemption Form – Admit Student

Compliance Date Not met: Notify Parent of Student Exclusion

No Action/No Response:
- Notify parent of Student Exclusion

5th to Action:
- Exclude student from school

Parent/guardian provides:
- Complete Vaccination Record OR
- Signed Exemption Form
  Admit Student

AHCCCS
Arizona Health Care Cost Containment System

Reaching across Arizona to provide comprehensive quality health care for those in need
Reaching across Arizona to provide comprehensive quality health care for those in need
K – 12 Requirements

Arizona School Immunization Requirements: Kindergarten - 12th Grade

- Students must have proof of all required immunizations, or a valid exemption, in order to attend school. Arizona law allows exemptions for medical reasons, lab evidence of immunity, and personal beliefs. Exemption forms are available from schools and [http://www.azdhs.gov/lp/p/trm/sch/schoo.htm](http://www.azdhs.gov/lp/p/trm/sch/schoo.htm).
- Immunization records for each vaccine dose must include the complete date and the doctor or clinic name.
- The statutes and some governing school immunization requirements include:

Please check requirements for each child’s age and grade level in the chart below.

<table>
<thead>
<tr>
<th>Age Grade</th>
<th>Under age 7</th>
<th>7 – 16 years</th>
<th>11 years and older</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kindergarten</td>
<td>4 doses</td>
<td>3 doses</td>
<td>1 dose</td>
</tr>
<tr>
<td>1st through 5th grade</td>
<td>4 doses</td>
<td>3 doses</td>
<td>1 dose</td>
</tr>
<tr>
<td>6th through 12th grade</td>
<td>4 doses</td>
<td>3 doses</td>
<td>1 dose</td>
</tr>
</tbody>
</table>

- DTaP (Diphtheria, Tetanus, Pertussis) Vaccine
- Td (Tetanus, Diphtheria) Vaccine
- Tdap (Tetanus, Diphtheria, Pertussis) Vaccine
- Meningococcal
- Polio
- MMR
- Hepatitis B
- Varicella

Note: ADHS observes a 4-day grace period for vaccine ages and intervals, except for the space between two live vaccines such as Varicella and MMR, which must be given at least 28 days apart if they are not administered on the same day.

Revised July 2017

Reaching across Arizona to provide comprehensive quality health care for those in need
ADHS Annual Arizona Immunization Conference May 2018

**EPSDT Tracking Forms**

- Example at 2 months:

<table>
<thead>
<tr>
<th>IMMUNIZATIONS ORDERED:</th>
<th>□ HepB □ DTaP □ Hib □ IPV □ PCV □ Rotavirus □ Other ____________________________</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Given at Today’s Visit □ Parent Refused □ Delayed □ Deferred Reason: ____________________________</td>
</tr>
<tr>
<td></td>
<td>□ Shot Record Updated □ Entered in ASIIS □ Importance of Immunizations Discussed □ Parent Refusal Form Completed</td>
</tr>
</tbody>
</table>
VFC Eligibility

- Children birth through 18 years of age who meet at least one of the following criteria on the day the vaccine is administered are eligible to receive VFC vaccine:
- Medicaid eligible: In Arizona, children whose health insurance is covered by the Arizona Health Care Cost Containment System (AHCCCS)
- Uninsured: A child who has no health insurance coverage
- American Indian or Alaska Native: As defined by the Indian Health Services Act
- Underinsured*:
  - A child who has commercial (private) health insurance but the coverage does not include vaccines,
  - A child whose insurance covers only selected vaccines (VFC-eligible for non-covered vaccines only),
  - A child whose insurance caps vaccine coverage at a certain amount. Once that coverage amount is reached, the child is categorized as underinsured and is eligible to receive VFC vaccines.
VFC Eligibility

• As of July 1, 2013, Federally Qualified Health Centers (FQHC), Rural Health Centers (RHC), County Health Departments and approved deputized providers are allowed to serve the VFC eligibility category of underinsured. All other providers will only be allowed to serve the VFC eligibility categories of Medicaid, un-insured, and American Indian/Alaskan Native. There are also no changes to providers ability to serve KidsCare children.
AHCCCS Requirements

• In the **Provider Agreement** it states, as a covenant to abide by the terms of;

• 2. All AHCCCS guidelines, policies and manuals, including but not limited to the following: AHCCCS Medical Policy Manual, AHCCCS Fee-For-Service Manual, AHCCCS Claims Clues, and Reporting Guides are hereby incorporated by reference into this agreement. Guidelines, policies and manuals are available on the AHCCCS website. For VFC claims incurred prior to 1/1/2013, providers must bill the appropriate CPT code for the immunization with the “SL” (State supplied vaccine) modifier that identifies the immunization as part of the VFC program. Providers must *not* use the immunization administration CPT codes 90471, 90472, 90473, and 90474 when billing under the VFC program. Because the vaccine is made available to providers free of charge, providers must not bill for the vaccine.
AHCCCS Requirements

• In both the **Acute and CRS Contract, page 72, #14**, it states:

• Through the Vaccines for Children (VFC) program, the Federal and State governments purchase, and make available to providers at no cost, vaccines for AHCCCS children under age 19. The Contractor shall not utilize AHCCCS funding to purchase vaccines for members under the age of 19. If vaccines are not available through the VFC program, the Contractor shall contact the AHCCCS Division of Health Care Management, Clinical Quality Management for guidance. Any provider licensed by the State to administer immunizations, may register with Arizona Department of Health Services (ADHS) as a VFC provider to receive these free vaccines. The Contractor shall not reimburse providers for the administration of the vaccines in excess of the maximum allowable as set by CMS. The Contractor shall comply with all VFC requirements and monitor contracted providers to ensure that providers are registered as VFC providers when acting as Primary Care Providers (PCP) for members under the age of 19 years.

• Due to low numbers of children in their panels providers in certain Geographic Service Areas may choose not to provide vaccinations. Whenever possible, members shall be assigned to VFC registered providers within the same or a nearby community. When that is not possible, the Contractor shall develop processes to ensure vaccinations are available through a VFC enrolled provider or through the appropriate County Health Department. In all instances, the vaccines are to be provided through the VFC program. The Contractor shall develop processes to pay the administration fee to whoever administers the vaccine regardless of their Contract status with the Contractor.

Reaching across Arizona to provide comprehensive quality health care for those in need
AHCCCS Requirements

• In the Fee-For-Service Manual, page 11, it states:

• Providers must be registered as Vaccines for Children (VFC) Program providers and VFC vaccines must be used. Under the federal VFC program, providers are paid a capped fee for administration of vaccines to members 18 years old and younger.
AHCCCS Requirements

- **In AMPM 430 B 6** (under Provider Requirements) it states:
  - Appropriate immunizations according to age and health history (administration of the immunizations may be billed in addition to the EPSDT visit using the CPT-4 code appropriate for the immunization with an SL modifier). Combination vaccines are paid as one vaccine. Providers must be registered as Vaccines for Children (VFC) providers and VFC vaccines must be used.
AHCCCS Requirements

• **In AMPM 430 C 1** (under Contractor Requirements) it states:

• AHCCCS will cover the human papilloma virus (HPV) vaccine for female and male EPSDT members age 11 to 21 years of age. AHCCCS will cover members nine and ten years of age, if the member is deemed to be in a high-risk situation. For adult immunizations, refer to AMPM Policy 310-M, *Immunizations*. Providers must coordinate with the Arizona Department of Health Services (ADHS) Vaccines for Children (VFC) program in the delivery of immunization services. Immunizations must be provided according to the Advisory Committee on Immunization Practices Recommended Schedule. (Refer to the CDC website at

• [http://www.cdc.gov/vaccines/schedules/index.html](http://www.cdc.gov/vaccines/schedules/index.html) where this information is included). Contractors must ensure providers enroll and re-enroll annually with the VFC program, in accordance with AHCCCS Contract requirements. The Contractor shall not utilize AHCCCS funding to purchase vaccines covered through the VFC program for members younger than 19 years of age.
VFC Operations Guide and Resources

ASIIS

• The Arizona State Immunization Information System (ASIIS) is an immunization registry designed to capture immunization data on individuals within the state. Providers are mandated under Arizona Revised Statute (ARS) §36-135 to report all immunizations administered to children 18 years of age and younger to the state's health department. The registry serves as a receptacle for accommodating these reported data. In this capacity, the registry then provides a valuable tool for the management and reporting of immunization information to public health professionals, private and public healthcare providers, parents, guardians and other child care personnel.
ASIIS

• **Goals and Objectives**

• To capture 100% of the vaccinations provided to children within the State.

• To promote efforts to ensure that 95% of all children within the state who are under six years of age are participating in the registry and have at least one immunization event on record.

• To provide all registered ASIIS providers with access to data stored in the registry, thus allowing them to query the registry for current and historical patient immunization records.

• To maintain the confidentiality of all patient information received in the registry.

• To ensure that healthcare professionals administering immunizations are reporting to the ASIIS registry in a regular and timely manner.

• To maintain the security of patient information stored in the registry.

• To provide a means for improved monitoring of immunization levels.
Through the use of the ASIIS application or any of the registry's electronic tools and features, a provider can find a comprehensive way to manage immunization data: For example, it allows:

- Access to consolidated immunizations records for children.
- Forecasting of immunization doses needed by an individual, based on the CDC approved schedule as indicated by the Arizona Recommended Childhood Immunization Schedule.
- Production of an official Immunization Record for patients to be used by schools or daycare centers.
- Inventory tracking and management of vaccine supplies.
- Generation of immunization reports such as the Vaccines For Children (VFC) report and a list of children who need to be reminded or recalled.
- Ability to interface with various patient management or billing systems.
- Introduction of new vaccines or changes in the vaccine schedule. Generation of coverage reports for managed care (e.g., HEDIS) and other organizations.
Families benefit by:

- Protection from childhood diseases.
- Reduction in duplicate/unnecessary immunizations.
- Increased chance of a Healthy lifestyle for children.
- Access to one consolidated record of all immunizations a child has received.
- Creation of an accurate, official copy of a child's immunization history for personal use, day care, school, or camp entry requirements.
- Confirmation when a child's immunizations is up to date.
- Creation of reminder notices when an immunization is due.
- Creation of recall notices when immunizations have been missed.
- Timely immunization for children whose families move or switch health-care providers.
ASIIS

• It benefits the community by:
  • Helping to reduce the risk of vaccine-preventable diseases.
  • Helping to identify high-risk and under-immunized populations.
  • Helping to prevent disease outbreaks.
  • Providing a link (where supported by legislation) with other health databases.
  • Providing information on community and state coverage rates.
  • Streamlining vaccine management.
  • Facilitating schools' efforts to assess student compliance with immunization requirements.
Parents whose religious beliefs do not allow immunizations MUST sign a religious exemption which MUST be kept in the child's immunization record.
A medical exemption form MUST be signed by the child's PCP or NP if there is laboratory evidence of immunity or a medical reason why the child cannot receive a particular vaccine.

<table>
<thead>
<tr>
<th>True</th>
<th>False</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>What proof of immunization is needed to align with state regulations?</td>
<td></td>
</tr>
<tr>
<td>---------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>Records from ASIIS</td>
<td></td>
</tr>
<tr>
<td>A copy of the Arizona Lifetime Immunization Record</td>
<td></td>
</tr>
<tr>
<td>Shot records stamped or signed by the healthcare provider</td>
<td></td>
</tr>
<tr>
<td>All of the above</td>
<td></td>
</tr>
</tbody>
</table>
A child who is missing vaccines required for her age may start child care but must receive a does of each vaccine within how many days of enrollment or cannot attend child care without the proper documentation?

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>10 days</td>
<td></td>
</tr>
<tr>
<td>15 days</td>
<td></td>
</tr>
<tr>
<td>30 days</td>
<td></td>
</tr>
<tr>
<td>One week</td>
<td></td>
</tr>
</tbody>
</table>
In Arizona, is the reporting of immunizations mandatory?

- Yes
- Only sometimes
- No
Which of the following are possible reasons for the increase in pertussis?

- Waning immunity of DTaP
- Increased recognition of disease
- Increased and improved testing
- Increased reporting
- All of the above

When poll is active, respond at PollEv.com/erictack956
Text ERICTACK956 to 22333 once to join
The correct answer is “A”

Yes, as stated in the ARS § 36-135 and AAC R9-6-706 & 707, reporting of immunization administered to children within the state is mandatory. The reporting of all such data on children 18 years of age and under is the only way to assure that the state's central database has the complete record of every child. With complete reporting, providers will no longer have to reconstruct the record from previous providers.
What must be reported to ASIIS?

Only those immunizations required by ADE

Only those immunizations required by the ASIIS data base

All immunizations administered to all children under the age of 21

All immunizations administered to children under the age of 18
The correct answer is “D”

All immunizations administered to children 18 years of age and under must be reported to the State Health Department, Arizona State Immunization Information system (ASIIS).
<table>
<thead>
<tr>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Weekly</td>
</tr>
<tr>
<td>Monthly</td>
</tr>
<tr>
<td>Quarterly</td>
</tr>
<tr>
<td>On an ad hoc basis</td>
</tr>
</tbody>
</table>
The correct answer is “B”

At a minimum, the statute/rule requires monthly reporting; however it is recommended that high volume immunization providers report more frequently.
<table>
<thead>
<tr>
<th>How can a provider enter data into ASIIS?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manually using the web application</td>
</tr>
<tr>
<td>Electronically using the Provider EHR Interface</td>
</tr>
<tr>
<td>Both of the above</td>
</tr>
</tbody>
</table>
Answer

• The correct answer is “C”

• To submit a manual entry, use the ASIIS Web Application. To report electronically, please visit the ASIIS and Provider EHR Interface.
For which of the following reasons may a provider be dis-enrolled by ADHS from participating in the VFC program?

- Failure to submit annual re-enrollment application
- Provider found to have committed fraud, waste or abuse
- Administering expired vaccine
- Failure to meet requirements of participation
- Request inactivation
- All of the above
The correct answer is F: all of the above

The NOA which is forwarded to all health plans, must explain the reason(s) for the decision of dis-enrollment.
Questions?
Thank You.

Reaching across Arizona to provide comprehensive quality health care for those in need