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## Chapter 7 – Supplemental Information

### I. INTRODUCTION

Throughout this manual, you will find links to online resource information necessary or helpful to the understanding of requirements and processes related to the Arizona Health Care Cost Containment System (AHCCCS) encounter reporting and error resolution. Most of this information is available in various site locations on the AHCCCS website at <https://www.azahcccs.gov/>.

#### **General Information:**

AHCCCS Provider Registration:

<https://www.azahcccs.gov/PlansProviders/APEP/ProviderEnrollment.html>

AHCCCS Capitation Information:

<https://www.azahcccs.gov/PlansProviders/RatesAndBilling/ManagedCare/capitation.html>

AHCCCS Fee-For-Service Fee Schedules:

<https://www.azahcccs.gov/PlansProviders/RatesAndBilling/FFS/>

AHCCCS Fee-For-Service Provider Manual:

<https://www.azahcccs.gov/PlansProviders/RatesAndBilling/FFS/providermanual.html>

AHCCCS Transition to DRG-based Payment:

<https://www.azahcccs.gov/PlansProviders/RatesAndBilling/ManagedCare/DRGbasedpayments.html>

AHCCCS Medical Policy Manual (AMPM):

<https://www.azahcccs.gov/shared/MedicalPolicyManual/>

AHCCCS Solicitations, Contracts & Purchasing:

<https://www.azahcccs.gov/PlansProviders/HealthPlans/purchasing.html>

#### **HIPAA Technical Resources:**

Electronic Data Interchange (EDI) Technical Documents:

<https://www.azahcccs.gov/Resources/EDI/EDITEchnicalDocuments.html>

HIPAA 5010 Consortia and Documentation:

<https://www.azahcccs.gov/Resources/EDI/consortium.html>

X-12 reports/code sets:

[www.wpc-edi.com](http://www.wpc-edi.com)

AHCCCS Technical Interface Guidelines (TIG):

<https://www.azahcccs.gov/Resources/Contractor/Manuals/TIG/>

**Encounter Specific:**

AHCCCS Data Access and Forms:

<https://www.azahcccs.gov/PlansProviders/ISDresources.html>

AHCCCS Encounter Resources:

<https://www.azahcccs.gov/PlansProviders/HealthPlans/encounters.html>

PMMIS Training Manual – Introduction to Encounter Processing:

<https://www.azahcccs.gov/PlansProviders/Downloads/Encounters/adjudication/DeskLevelInstructionsForAccessingPMMIS.pdf>

AHCCCS Encounter Data Validation Technical Document:

<https://www.azahcccs.gov/PlansProviders/Downloads/Encounters/EncounterValidationTechnicalDocument.pdf>

National Correct Coding Initiative (NCCI):

<https://www.cms.gov/medicare-medicaid-coordination/national-correct-coding-initiative-ncci/ncci-medicare>

II. CN1 TO SUBCAP CODE CROSSWALK

| CN101<br>Contract<br>Type<br>Code | Description                         | Sub Cap<br>Code | Description   |
|-----------------------------------|-------------------------------------|-----------------|---|
| 01                                | Diagnosis<br>Related<br>Group (DRG) | 00<br>*         | <b>Fee-For- Service Arrangement:</b><br>Used to report services paid under a DRG arrangement.   |
| 02                                | Per Diem                            | 00<br>*         | <b>Fee-For- Service Arrangement:</b><br>Used to report services paid under a Per Diem arrangement.  |
| 03                                | Variable Per<br>Diem                | 00<br>*         | <b>Fee-For- Service Arrangement:</b><br>Used to report services paid under a Variable Per Diem<br>arrangement.  |
| 04                                | Flat                                | 00<br>*         | <b>Fee-For- Service Arrangement:</b><br>Used to report services paid under a Flat Fee arrangement.  |
| 05                                | Capitated                           | 01<br>*         | <b>Sub-Capitation/Contractual Arrangement:</b><br>Used to report services provided under a sub-capitated/contractual<br>arrangement.  |
| 06                                | Percent                             | 00<br>*         | <b>Fee-For- Service Arrangement:</b><br>Used to report services paid under a Percent arrangement.   |
| 09                                | Other                               | 08              | <b>Negotiated Settlement:</b><br>Used to report services that are included in a negotiated<br>settlement. For example, claims paid as part of a grievance<br>settlement.  |
| 09                                | Other                               | 04              | <b>Contracted Transplant Service:</b><br>Used to report covered transplant services paid via catastrophic<br>reinsurance.<br><i>Member must be identified as a Transplant Recipient (Member<br/>Exception code = '25').</i> |
| *<br>01, 02, 03,<br>04, 05, 06    |                                     | 05              | <b>Non-Transplant Service for Transplant Recipient:</b><br>Used to report services provided when a member is a Transplant<br>Recipient (Member Exception code = '25').  |
|                                   |                                     | 06              | <b>Denied Service:</b><br>Used to report valid AHCCCS services that are denied. For example,<br>if a claim was denied for untimely submission.  |
| Blank                             |                                     | 00              | <b>Fee-For-Service Arrangement:</b><br>Used to report services paid under a Fee-For-Service arrangement.  |

### III. COUNTY CODES

The two-digit codes used for reporting the Arizona County in which the recipient is enrolled are listed in the following table.

| County Codes |          |      |                         |
|--------------|----------|------|-------------------------|
| Code         | County   | Code | County                  |
| 01           | APACHE   | 21   | PINAL                   |
| 03           | COCHISE  | 23   | SANTA CRUZ              |
| 05           | COCONINO | 25   | YAVAPAI                 |
| 07           | GILA     | 27   | YUMA                    |
| 09           | GRAHAM   | 29   | LA PAZ                  |
| 11           | GREENLEE | 31   | OUT OF STATE            |
| 13           | MARICOPA | 33   | OUT OF COUNTRY          |
| 15           | MOHAVE   | 35   | UNKNOWN                 |
| 17           | NAVAJO   | 99   | STATEWIDE (FOR PRICING) |
| 19           | PIMA     |      |                         |

### IV. CATEGORY OF SERVICE (COS)

AHCCCS has developed a two-digit coding definition called a Category Of Service (COS). Contractors do not provide the COS, and it cannot be changed on the pended encounter correction file. All "Mandatory" COS are assigned automatically, and "Optional" COS are only assigned during the registration process if the "Optional" COS doesn't require additional license/certification. The COS is determined by AHCCCS.

The COS is determined based on an encounter's:

- Procedure code,
- Bill type,
- Revenue code, or
- Pharmacy National Drug Code (NDC).

For professional and dental encounters, the COS assignment is determined by the range or description of each HCPCS procedure code. For example, AHCCCS assigns COS 12 (pathology & laboratory) to HCPCS procedure code G0001 (Routine venipuncture of finger/heel/ear for collection of specimen/s). For institutional encounters, the COS assignment is based on the bill type and revenue codes used on the individual encounter. For pharmacy encounters, the COS is based on the NDC. A current list of the AHCCCS assigned COS is summarized in the following tables.

| <b>COS Code</b> | <b>COS Description</b>                          |
|-----------------|---|
| PM              | Performance Measure                             |
| 01              | Medicine  |
| 02              | Surgery   |
| 03              | Respiratory Therapy                             |
| 05              | Occupational Therapy                            |
| 06              | Physical Therapy                                |
| 07              | Speech/Hearing Therapy                          |
| 08              | EPSDT   |
| 09              | Pharmacy  |
| 10              | Inpatient Hospital (Room & Board and ancillary) |
| 11              | Dental  |
| 12              | Pathology & Laboratory                          |
| 13              | Radiology                                       |
| 14              | Emergency Transportation                        |
| 15              | DME and Appliances                              |
| 16              | Out-Patient Facility Fees                       |
| 17              | ICF   |
| 18              | SNF   |
| 19              | ICF/MR  |
| 20              | Hospice Inpatient Care                          |
| 21              | Hospice Home Care                               |
| 22              | Home Delivered Meals                            |
| 23              | Homemaker Service                               |
| 24              | Adult Day Health Service                        |
| 25              | Personal Emergency Response system              |

| <b>COS Code</b> | <b>COS Description</b>                  |
|-----------------|---|
| 26              | Respite Care Services                   |
| 27              | IHS Outpatient Services                 |
| 28              | Attendant Care                          |
| 29              | Home Health Aid Service                 |
| 30              | Home Health Nurse Service               |
| 31              | Non-Emergency Transportation            |
| 32              | Habilitation                            |
| 33              | E-Arch                                  |
| 34              | Non-Medical Transportation              |
| 35              | Adult Foster Care                       |
| 36              | Assisted Living                         |
| 37              | Chiropractic Services                   |
| 38              | Crisis Shelter                          |
| 39              | Personal Care Services                  |
| 40              | Medical Supplies                        |
| 41              | Outreach                                |
| 42              | DD Programs (DD Day Care Programs)      |
| 43              | Specialized Services                    |
| 44              | Home & Community Based Services (Other) |
| 45              | Rehabilitation                          |
| 46              | Environmental                           |
| 47              | Mental Health Services                  |
| 48              | Licensed Midwife                        |
| 49              | Specialized Medical Equipment           |
| 98              | Case Manager                            |

Note that there are relational edits and audits for the appropriateness of the service code reported relative to the provider type. A mismatch between provider type and COS may cause an encounter to pend. The AHCCCS Provider Registration Unit assigns a provider's provider type based on information submitted by the prospective provider regarding the services to be offered and licensing/certification requirements. The absence of licenses or certifications may limit the COS assignments for a specific provider, regardless of the typical range of services available to that provider type. The provider will be given the option when completing the application to add the "Optional" COS associated with the provider type; whichever COS is chosen, the provider will be prompted to upload the associated license/certification to the COS.

**V. AHCCCS COVERAGE CODES**

The AHCCCS Coverage Code describes the coverage parameters determined by AHCCCS for each procedure code.

| <b>AHCCCS Coverage Codes</b> |  |   |
|------------------------------|--|---|
| 01                           | Covered service/Code available         | Service as described by code is covered and appropriate for reporting.  |
| 02                           | Not covered service/Code available     | Service as described by code is not covered or used by AHCCCS but may be allowed on an exception/contract basis by MCO's (related encounters will deny for this reason but be captured for utilization purposes). |
| 03                           | Covered service/Use other code         | Service as described by code is covered; however, another code is more appropriate for reporting.   |
| 04                           | Not covered service/Code not available | Service as described by code is neither covered nor appropriate for reporting.  |
| 05                           | Outpatient hospital services           | Service as described by code is covered and appropriate for outpatient hospital reporting.  |
| 06                           | Not covered service/Header record      | ICD 10 structure header and detail standards define when it is okay to use the header level value with or without the detail. Refer to CMS ICD10 Guidelines.  |
| 08                           | Covered service/Code replaced          | Service as described by code is covered; however, it has been replaced by another code  |
| 09                           | Medicare only                          | Service as described by code is not covered, but it is appropriate for reporting when Medicare is primary.  |
| 10                           | Non pay Category II Codes              | Regardless of coverage determination, allows plans to report performance measurement codes.   |

**VI. JULIAN CALENDAR**

The attached matrices show the three-digit Julian date for each day of the year. Matrices are provided for both regular and leap years. In addition, the Julian date of receipt of a New Day Encounter File is incorporated into the Control Reference Number (CRN) assigned to each encounter record.

**JULIAN CALENDAR**

|    | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEPT | OCT | NOV | DEC |
|----|-----|-----|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|
| 1  | 001 | 032 | 060 | 091 | 121 | 152 | 182 | 213 | 244  | 274 | 305 | 335 |
| 2  | 002 | 033 | 061 | 092 | 122 | 153 | 183 | 214 | 245  | 275 | 306 | 336 |
| 3  | 003 | 034 | 062 | 093 | 123 | 154 | 184 | 215 | 246  | 276 | 307 | 337 |
| 4  | 004 | 035 | 063 | 094 | 124 | 155 | 185 | 216 | 247  | 277 | 308 | 338 |
| 5  | 005 | 036 | 064 | 095 | 125 | 156 | 186 | 217 | 248  | 278 | 309 | 339 |
| 6  | 006 | 037 | 065 | 096 | 126 | 157 | 187 | 218 | 249  | 279 | 310 | 340 |
| 7  | 007 | 038 | 066 | 097 | 127 | 158 | 188 | 219 | 250  | 280 | 311 | 341 |
| 8  | 008 | 039 | 067 | 098 | 128 | 159 | 189 | 220 | 251  | 281 | 312 | 342 |
| 9  | 009 | 040 | 068 | 099 | 129 | 160 | 190 | 221 | 252  | 282 | 313 | 343 |
| 10 | 010 | 041 | 069 | 100 | 130 | 161 | 191 | 222 | 253  | 283 | 314 | 344 |
| 11 | 011 | 042 | 070 | 101 | 131 | 162 | 192 | 223 | 254  | 284 | 315 | 345 |
| 12 | 012 | 043 | 071 | 102 | 132 | 163 | 193 | 224 | 255  | 285 | 316 | 346 |
| 13 | 013 | 044 | 072 | 103 | 133 | 164 | 194 | 225 | 256  | 286 | 317 | 347 |
| 14 | 014 | 045 | 073 | 104 | 134 | 165 | 195 | 226 | 257  | 287 | 318 | 348 |
| 15 | 015 | 046 | 074 | 105 | 135 | 166 | 196 | 227 | 258  | 288 | 319 | 349 |
| 16 | 016 | 047 | 075 | 106 | 136 | 167 | 197 | 228 | 259  | 289 | 320 | 350 |
| 17 | 017 | 048 | 076 | 107 | 137 | 168 | 198 | 229 | 260  | 290 | 321 | 351 |
| 18 | 018 | 049 | 077 | 108 | 138 | 169 | 199 | 230 | 261  | 291 | 322 | 352 |
| 19 | 019 | 050 | 078 | 109 | 139 | 170 | 200 | 231 | 262  | 292 | 323 | 353 |
| 20 | 020 | 051 | 079 | 110 | 140 | 171 | 201 | 232 | 263  | 293 | 324 | 354 |
| 21 | 021 | 052 | 080 | 111 | 141 | 172 | 202 | 233 | 264  | 294 | 325 | 355 |
| 22 | 022 | 053 | 081 | 112 | 142 | 173 | 203 | 234 | 265  | 295 | 326 | 356 |
| 23 | 023 | 054 | 082 | 113 | 143 | 174 | 204 | 235 | 266  | 296 | 327 | 357 |
| 24 | 024 | 055 | 083 | 114 | 144 | 175 | 205 | 236 | 267  | 297 | 328 | 358 |
| 25 | 025 | 056 | 084 | 115 | 145 | 176 | 206 | 237 | 268  | 298 | 329 | 359 |
| 26 | 026 | 057 | 085 | 116 | 146 | 177 | 207 | 238 | 269  | 299 | 330 | 360 |
| 27 | 027 | 058 | 086 | 117 | 147 | 178 | 208 | 239 | 270  | 300 | 331 | 361 |
| 28 | 028 | 059 | 087 | 118 | 148 | 179 | 209 | 240 | 271  | 301 | 332 | 362 |
| 29 | 029 |     | 088 | 119 | 149 | 180 | 210 | 241 | 272  | 302 | 333 | 363 |
| 30 | 030 |     | 089 | 120 | 150 | 181 | 211 | 242 | 273  | 303 | 334 | 364 |
| 31 | 031 |     | 090 |     | 151 |     | 212 | 243 |      | 304 |     | 365 |



**JULIAN CALENDAR (LEAP YEAR)**

|    | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEPT | OCT | NOV | DEC |
|----|-----|-----|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|
| 1  | 001 | 032 | 061 | 092 | 122 | 153 | 183 | 214 | 245  | 275 | 306 | 336 |
| 2  | 002 | 033 | 062 | 093 | 123 | 154 | 184 | 215 | 246  | 276 | 307 | 337 |
| 3  | 003 | 034 | 063 | 094 | 124 | 155 | 185 | 216 | 247  | 277 | 308 | 338 |
| 4  | 004 | 035 | 064 | 095 | 125 | 156 | 186 | 217 | 248  | 278 | 309 | 339 |
| 5  | 005 | 036 | 065 | 096 | 126 | 157 | 187 | 218 | 249  | 279 | 310 | 340 |
| 6  | 006 | 037 | 066 | 097 | 127 | 158 | 188 | 219 | 250  | 280 | 311 | 341 |
| 7  | 007 | 038 | 067 | 098 | 128 | 159 | 189 | 220 | 251  | 281 | 312 | 342 |
| 8  | 008 | 039 | 068 | 099 | 129 | 160 | 190 | 221 | 252  | 282 | 313 | 343 |
| 9  | 009 | 040 | 069 | 100 | 130 | 161 | 191 | 222 | 253  | 283 | 314 | 344 |
| 10 | 010 | 041 | 070 | 101 | 131 | 162 | 192 | 223 | 254  | 284 | 315 | 345 |
| 11 | 011 | 042 | 071 | 102 | 132 | 163 | 193 | 224 | 255  | 285 | 316 | 346 |
| 12 | 012 | 043 | 072 | 103 | 133 | 164 | 194 | 225 | 256  | 286 | 317 | 347 |
| 13 | 013 | 044 | 073 | 104 | 134 | 165 | 195 | 226 | 257  | 287 | 318 | 348 |
| 14 | 014 | 045 | 074 | 105 | 135 | 166 | 196 | 227 | 258  | 288 | 319 | 349 |
| 15 | 015 | 046 | 075 | 106 | 136 | 167 | 197 | 228 | 259  | 289 | 320 | 350 |
| 16 | 016 | 047 | 076 | 107 | 137 | 168 | 198 | 229 | 260  | 290 | 321 | 351 |
| 17 | 017 | 048 | 077 | 108 | 138 | 169 | 199 | 230 | 261  | 291 | 322 | 352 |
| 18 | 018 | 049 | 078 | 109 | 139 | 170 | 200 | 231 | 262  | 292 | 323 | 353 |
| 19 | 019 | 050 | 079 | 110 | 140 | 171 | 201 | 232 | 263  | 293 | 324 | 354 |
| 20 | 020 | 051 | 080 | 111 | 141 | 172 | 202 | 233 | 264  | 294 | 325 | 355 |
| 21 | 021 | 052 | 081 | 112 | 142 | 173 | 203 | 234 | 265  | 295 | 326 | 356 |
| 22 | 022 | 053 | 082 | 113 | 143 | 174 | 204 | 235 | 266  | 296 | 327 | 357 |
| 23 | 023 | 054 | 083 | 114 | 144 | 175 | 205 | 236 | 267  | 297 | 328 | 358 |
| 24 | 024 | 055 | 084 | 115 | 145 | 176 | 206 | 237 | 268  | 298 | 329 | 359 |
| 25 | 025 | 056 | 085 | 116 | 146 | 177 | 207 | 238 | 269  | 299 | 330 | 360 |
| 26 | 026 | 057 | 086 | 117 | 147 | 178 | 208 | 239 | 270  | 300 | 331 | 361 |
| 27 | 027 | 058 | 087 | 118 | 148 | 179 | 209 | 240 | 271  | 301 | 332 | 362 |
| 28 | 028 | 059 | 088 | 119 | 149 | 180 | 210 | 241 | 272  | 302 | 333 | 363 |
| 29 | 029 | 060 | 089 | 120 | 150 | 181 | 211 | 242 | 273  | 303 | 334 | 364 |
| 30 | 030 |     | 090 | 121 | 151 | 182 | 212 | 243 | 274  | 304 | 335 | 365 |
| 31 | 031 |     | 091 |     | 152 |     | 213 | 244 |      | 305 |     | 366 |

## VII. PMMIS INTERNAL ENCOUNTER/CLAIMS LOCATIONS

Upon completion of the PMMIS encounter cycle, encounters are assigned or abended to a location. Each encounter has a location within PMMIS, which can change as the encounter moves through the edit and audit process or is manually adjudicated by a User. RF711 defines the Encounter/Claim Location.

The Encounter Department/ISD Date Management & Oversight Department utilizes the following internal locations for specific oversight of encounter edits. The encounters' location may or may not be recycled based on the location. All pending encounters have an adjudication status of **11- In Process**.

- 30 MMIS UNIT – INTERNAL LOCATION (Does not recycle)
- 55 OMC – DATA GATHERED FIELD ERRORS (Recycles)
- 59 RESERVED – INTERNAL LOCATION (Recycles)
- 99 CONTACT MMIS – INTERNAL LOCATION (Recycles)

Encounters located in internal locations will not be returned on the Encounter response files outlined in Chapter 4 of this manual.

## VIII. ENCOUNTER MANUAL REVISION HISTORY

| Date    | Author                          | Chapter | Description  |
|---------|---------------------------------|---------|--|
| 10/1/24 | G. Aker                         | All     | Remove EncounterTI email from document, obsolete due to new EDI Portal contact requirements.   |
| 10/1/24 | G. Aker                         | All     | Replace all references to TI Portal to EDI Portal.   |
| 10/1/24 | T. Garcia                       | All     | Removed DHCM and CMDP from Table of contents and definitions. Added DMCS, DMCO, ISD, DCS CHP, and TCU. Updated page numbers.   |
| 10/1/24 | T. Garcia                       | All     | Changed AHCCCS branding color.   |
| 10/1/24 | T. Garcia                       | 2       | Revised on information from TI Portal to AHCCCS new vendor EDI Solutions Portal and how to gain access.  |
| 10/1/24 | G. Aker                         | 3       | Revised updated section VIII to reflect new EDI Web Portal file validation process.  |
| 10/1/23 | G. Aker                         | 7       | Added section VII PMMIS Internal Encounter/Claims Locations  |
| 10/1/22 | G. Aker and updated by L. Peary | 2       | Removed footer and header on Exhibits 2A and 2B for Contractors to be able to print and submit the forms.  |
| 10/1/22 | G. Aker and updated by L. Peary | 3       | 1.) Added sub-bullets with scenarios (provider's failure to supply requested supporting documentation) under Section V – Contractor Administrative Denials/Zero Payment Encounter Submissions.<br>2.) Removed Encounter Submission and Revision Tracking Reports (ESTR) section. |
| 10/1/22 | G. Aker and updated by L. Peary | 4       | 1.) Added Automation of Batch Pend and Denial Override Process under Section X.<br>2.) Added Pended Encounters Requiring AHCCCS Intervention under Section XI.   |
| 10/1/22 | G. Aker and updated by L. Peary | 5       | 1.) Added TIG link to Reference Files 01 and 02.<br>2.) Added N4 Record Update (RF724) to Reference File 01.<br>3.) Added M7 Evaluation and Management Process Codes   |

|         |                                 |              |  |
|---------|---------------------------------|--------------|--|
|         |                                 |              | (M7)(RF7B7) to Reference File 07.<br>4.) Added Reference File 09 to include C1 – EVV Provider Key Contact Data, S1 – School CTDS Information, and R1 – ROPA Exceptions.  |
| 10/1/22 | G. Aker and updated by L. Peary | 6            | 1.) Removed Inpatient Hospital DRG Encounter Editing section.<br>2.) Removed Implant Carve Out Encounters Consideration for Reinsurance section.<br>3.) Added Encounters Edit Status H140 and H141 as Section XIV. |
| 10/1/22 | G. Aker and updated by L. Peary | All Chapters | 1.) Updated FTP to SFTP.<br>2.) Added TOC to chapters (for individual publishing).   |