



DEFICIT REDUCTION ACT (DRA) Compliance Checklist

Any entity that receives or makes annual Medicaid payments under the state plan of at least \$5 Million, including Hospitals, Medical Facilities, and Health Care Professionals, must certify its compliance with Section 6032 of the Deficit Reduction Act of 2005 by completing and submitting the following checklist:

Establishment and availability of policies:

Yes No

		Policies with detailed information regarding the False Claims Act have been established and disseminated to all employees and management, including contractors and agents
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The entity’s written policies include the following information:

Yes No

		Knowingly presenting (or causing to be presented) to the Federal Government a false or fraudulent claim for payment can constitute a violation of the False Claims Act (“FCA”).
		Knowingly using (or causing to be used) a false record or statement to conceal, avoid, or decrease an obligation to pay money or transmit property to the Federal Government can constitute a violation of the False Claims Act (“FCA”).
		Conspiring with others to get a false or fraudulent claim paid by the Federal Government can constitute a violation of the False Claims Act (“FCA”).
		The False Claims Act covers fraud involving any federally funded contract or program, with the exception of tax fraud.
		The civil penalty for violating the FCA is three times the dollar amount that the Government is defrauded (i.e., treble damages) and civil penalties of \$5,500 to \$11,000 for each offense.
		An individual can share in a percentage of a government recovery in an FCA action or settlement if they bring an action on behalf of the United States as a “qui tam relator.”
		The FCA protects these qui tam relators (sometimes called “whistleblowers”) against discharge, demotion, harassment, or other discrimination by their employers as a result of the claims they make under the FCA.

Additional Questions

		The entity's policies reference Arizona statutes regarding civil or criminal penalties for false claims and statements.
		The entity has written policies regarding the detection and prevention of fraud, waste, and abuse (which can take the form of a compliance program).

I/we certify that we have reviewed the checklist above, and that the entity listed below has implemented the written policies required under Section 6032 of the Deficit Reduction Act (PL) 109-171.

Signed

Date

Print Name

Title

Entity

Address

City, Zip Code