



Request for Information

#YH18-0043 Demographic and Outcomes Data Set User Guide (DUG)

Procurement Officer:

ISSUE DATE: November 1, 2017

Alice McLain
Procurement Manager
Telephone: 602-417-4749
Email: Procurement@azahcccs.gov

OFFICE ADDRESS: AHCCCS
Procurement Office
701 E. Jefferson, MD 5700
Phoenix, AZ 85034

RFI NAME: Demographic and Outcomes Data Set User Guide (DUG)

RESPONSE DUE DATE: November 22, 2017 no later than 3:00 pm AZ time

QUESTIONS CONCERNING THIS RFI SHALL BE SUBMITTED TO THE PROCUREMENT OFFICER VIA E-MAIL BY **NOVEMBER 8, 2017** 5:00 PM ARIZONA TIME ON THE Q & A FORM PROVIDED WITH THIS RFI. ANSWERS TO QUESTIONS WILL BE POSTED ON THE AHCCCS WEBSITE FOR THE BENEFIT OF ALL POTENTIAL RESPONDENTS.

Responses to this RFI must be in the actual possession of AHCCCS on or prior to the time and date indicated above.

This is a Request for Information ("RFI") only and as such will NOT result in any award of contract.

AHCCCS is in the information gathering stage and no decisions have been made concerning the agency's intent to issue a formal Request for Proposal. Responding to this RFI is appreciated and will NOT prohibit the respondents from responding to any future procurements.

Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the appropriate Procurement Agency. Requests should be made as early as possible to allow time to arrange the accommodation. A person requiring special accommodations may contact the person responsible for this request as identified below.

REQUEST FOR INFORMATION

1. OVERVIEW

AHCCCS is the single state Medicaid agency for the State of Arizona. In that capacity it is responsible for operating the Title XIX and Title XXI programs through the State's 1115 Research and Demonstration Waiver, which was granted by the Centers for Medicare and Medicaid Services (CMS), U.S. Department of Health and Human Services. As of June 1, 2017, AHCCCS provides coverage to approximately 1.9 million members in Arizona.

Over 86.7% of the AHCCCS program's expenditures in SFY 2016 were through managed care programs. AHCCCS contracts with Managed Care Organizations (MCO's) that are responsible for providing Acute, Long Term Care, and Behavioral Health Services. A list of contracted plans can be found here:

<https://azahcccs.gov/Members/ProgramsAndCoveredServices/availablehealthplans.html>

The program has a total fund budget for FY 2018 of approximately \$13.5 Billion. AHCCCS has over 70,000 active providers in Arizona such as individual medical and behavioral health practitioners, therapy disciplines, institutions, durable medical equipment companies and transportation entities. Additional information may be found on the AHCCCS website reporting page: <https://azahcccs.gov/Resources/Reports/federal.html>.

In addition, AHCCCS oversees Non-Title XIX funding that includes Substance Abuse Block Grant (SABG) and Mental Health Block Grant (MHBG) through the Substance Abuse and Mental Health Services Administration (SAMHSA). AHCCCS receives approximately \$53 Million in block grant funding. Grant funds are acquired through an annual application process that details how the State will expend federal funds to provide mental health services and treatment and prevention of substance abuse in Arizona. Grant funds are allocated on a per capita basis to ensure equity in the utilization of tax dollars for Arizona communities. The three Regional Behavioral Health Authorities (RBHA) and the three tribes receive funding including Gila River Indian Community, Pascua Yaqui Tribe, and White Mountain Apache Tribe, to manage service delivery on behalf of the State.

SABG supports primary prevention services and treatment services for members with substance use disorders. It is used to plan, implement and evaluate activities to prevent and treat Substance Use Disorders. Grant funds are also used to provide early intervention services for HIV and tuberculosis disease in high-risk substance users. SABG funds are used to ensure access to treatment and long-term recovery support services for:

1. Priority population defined by SAMHSA;
2. Family-centered programs for women with young children, including funds specifically prioritized for parents of children with CPS services; and
3. To develop policies, programs, and services to prevent the onset of illegal drug use, prescription drug misuse and abuse, alcohol misuse and abuse and underage alcohol and tobacco use.

The MHBG funding is used as follows:

1. To ensure access to a comprehensive system of care, including employment, housing, case management;

REQUEST FOR INFORMATION

2. For rehabilitation, dental, and health services, as well as mental health services and supports,
3. To promote participation by consumer/survivors and their families in planning and implementing services and programs, as well as in evaluating State mental health systems,
4. To ensure access for underserved populations, including people who are homeless, residents of rural areas, and older adults,
5. To promote recovery and community integration for adults with SMI and children with SED, and
6. To increase accountability through uniform reporting on access, quality, and outcomes of services.

2. PURPOSE of RFI and Background

Requirements from SAMHSA for Block Grant funding include the reporting of certain member demographic and outcome related data to SAMHSA. AHCCCS currently requires RBHAs to submit to AHCCCS member demographic and outcome related data elements, via the Demographic and Outcomes Data Set User Guide (referred to as the DUG), obtained from their providers for all members receiving behavioral health services. AHCCCS is interested in alternative methods to collect the data while maintaining compliance with the grant requirements.

As a result of stakeholder feedback regarding the challenges of collecting and reporting these data requirements, AHCCCS has explored other data sources, such as encounter data and a Social Determinant of Health Code Set cross walked to certain DUG required fields, for possible collection of certain of the requirements.

The purpose of this RFI is to gather information regarding the requirement for submission of member demographic and outcome related data elements through the DUG for all members receiving behavioral health services by the RBHAs.

Demographic and Outcomes Data

The data fields contained in the demographic data set are mandatory and must be collected and submitted within required timeframes, recorded using valid values, and in compliance with specified definitions. The contents of the demographic data record are required to match the member's behavioral health medical records. Refer to the Demographic and Outcomes Data Set User Guide (DUG) at the following location:

<https://www.azahcccs.gov/PlansProviders/Downloads/GM/Demographics/DUG81FINAL.pdf>

The demographic data set are used to:

- Monitor and report on member outcomes;
- Comply with federal, state, and/or grant requirements to ensure continued funding for the behavioral health system;
- Assist with financial-related activities such as budget development and rate setting;
- Support quality management and utilization management activities, and;
- Inform stakeholders and community members.

AHCCCS is evaluating simplification of the data set element reporting requirements as well as the mandate for only RBHAs to report as they currently and will continue to administer the majority of this grant funding. Looking forward to system integration in 2018, AHCCCS is evaluating reporting

REQUEST FOR INFORMATION

requirements for AHCCCS Complete Care (ACC) Contractors. AHCCCS is soliciting feedback regarding current required elements that are collected and how the collected information is utilized.

- 3. Requested Information:** If you are interested in responding to any or all of the areas identified, AHCCCS is requesting the following detailed written response.
1. Should AHCCCS only collect elements of the Demographic and Outcomes Data Set User Guide (DUG) on individuals who receive grant funded services? What, if any, are the implications for not collecting this information for all AHCCCS members receiving behavioral health services?
 2. Should AHCCCS limit the number of providers receiving Grant funding in order to minimize the administrative requirements for all providers of DUG reporting? What are the pros and cons of this approach?
 3. How is data from the DUG currently being utilized at the Managed Care Organization and Provider levels?
 4. What elements currently in the DUG are critical to continue to track and why?
 5. What elements currently in the DUG are critical to track for Value Based Purchasing and why?
 6. Should AHCCCS utilize other data sources such as encounter data and social determinants of health codes to collect the data? What other data sources should AHCCCS consider?
 7. Should AHCCCS limit the reporting requirement to RBHAs only? Should reporting also be required of the ACC Contractors?
 8. Please provide any additional feedback AHCCCS should consider when making these decisions.

4. CONTENTS OF YOUR RESPONSE

If you are interested in responding to this RFI, AHCCCS is requesting the following:

- 4.1 Detailed Written Response** to any or all of the questions listed in Section 3, Requested Information. Response should be no more than twelve pages, clearly legible, sequentially page-numbered and include the respondent's name and RFI number at the top of each page.
- 4.2 A completed Attachment A**, Respondent's Information, which includes contact information, including name, title, mailing address, email address, authorized signature, and phone number of the contact person for questions relating to the RFI.

5. HOW TO RESPOND

5.1 Submit one (1) electronic copy of the RFI response electronically (or by mail/physically) to the procurement officer listed on the front of this RFI via

- 5.1.1** CD, thumb drive or other secure electronic device or;
5.1.2 Email attachment. (Preferred)

5.2 Submit response no later than the time indicated on the front page of this RFI. Please take into consideration the Arizona time zone.

6. CONFIDENTIAL/PROPRIETARY INFORMATION:

6.1 To the extent allowed by law, information contained in a response to a request for information shall be considered confidential until a formal procurement process is concluded or for two (2) years, whichever occurs first. AHCCCS reserves the right to use outside consultants to assist staff in

REQUEST FOR INFORMATION

reviewing this request for information. A Procurement Disclosure Statement (PDS) is signed by all reviewers to ensure that the legal mandate to maintain strict security and confidentiality of the information is met. This RFI and responses to the RFI are subject to the Arizona Public Records law and as such, are open to public inspection after this time.

7. REIMBURSEMENT:

AHCCCS will not reimburse any respondent for the cost of preparing and submitting a response to the RFI or for travel costs associated with presenting the demo.

8. NO AWARD OF CONTRACT:

This is a Request for Information (“RFI”) only and as such will NOT result in any award of contract. AHCCCS is in the information gathering stage and no decisions have been made concerning the agency’s intent to issue a formal Request for Proposal. Responding to this RFI is appreciated and will NOT prohibit the respondents from responding to any future procurement.

Attachment A: Respondent's Contact Information

Company Name
Address
Federal Employer ID Number

For Clarification of this Response Contact:

Name
Title
Phone
Email

Signature of Authorized Person
Name
Title
Date

END OF DOCUMENT

Intentionally left blank.