Promoting Interoperability: Public Health Reporting and Registries

Arizona Department of Health Services
April 30, 2020

Public Health Reporting Registries Learning Objectives

- Learn about the available Public Health Reporting Registries at the Arizona Department of Health Services
- Learn about Active Engagement and the 3 Options: Registration, Testing and Validation, Production
- Learn about Active Engagement status documentation from the Arizona Department of Health Services

What Public Health Reporting Registries Are Available at ADHS?

- Go to the ADHS Meaningful Use homepage

Meaningful Use

Home

Arizona State Immunization Information System (ASIIS)

Electronic Lab Reporting (ELR)

Syndromic Surveillance

Cancer Registry

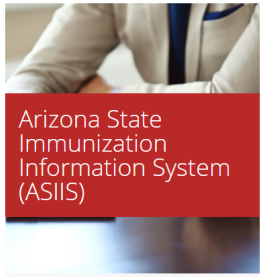
Cancer Registry FAQs

Additional Resources

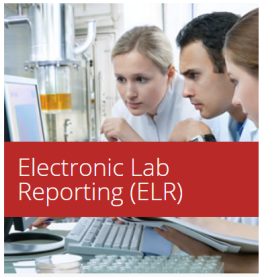
Contact Us

Signup for email updates

Home

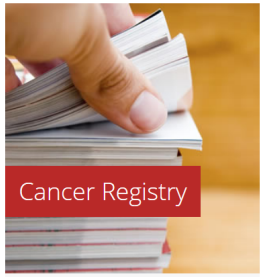


Electronic transmission of immunization records.



Electronic submission of reportable lab results to public health.

Using specific indicators to identify outbreaks, public health events, and monitor health status.



Electronic submission of cancer case information to the public health registry.

Meaningful Use and Arizona's Public Health Systems

The adoption and utilization of electronic health records (EHR) is a vital national goal established under the Health Information Technology for Economic and Clinical Health Act (HITECH Act). One goal of HITECH Act is to increase the Meaningful Use (MU) of EHR technology among healthcare providers.

Meaningful Use is using certified EHR technology:

- To improve quality, safety, efficiency, and reduce health disparities

What Public Health Reporting Registries Are Available at ADHS?

- Look for the table of Public Health Measures (reporting Measures) and Healthcare Provider Types

- Review registries in the Eligible Professional row

Public Health Meaningful Use Measures

Please refer back, as the status of measures may change.

Healthcare Provider Type	Immunization	Reportable Lab Results	Syndromic surveillance	Specialized Registries		
				Cancer	Other ADHS Registry	Outside Registry
Eligible Professional*	Yes	N/A	No	Yes	No	Contact your national specialty society.
Eligible Hospitals and Critical Access Hospitals*	Yes	Yes	Yes	N/A	No	For National Health Care Survey participants, CDC is accepting submissions for MU.

Meaningful Use

- Home
- Arizona State Immunization Information System (ASIIS)
- Electronic Lab Reporting (ELR)
- Syndromic Surveillance
- Cancer Registry
- Cancer Registry FAQs
- Additional Resources
- **Contact Us**

Signup for email updates

Contact Us

Meaningful Use
150 N 18th Avenue, Suite 140
Phoenix, AZ 85007
Phone: (602) 542-6002
Email: Meaningful Use

Arizona State Immunization Information System
Phone: (602) 364-3899
Email: ASIIS

Electronic Laboratory Reporting / Reportable Lab Results
Phone: (602) 542-6002
Email: ELR

Syndromic Surveillance
Phone: (602) 542-6002
Email: Syndromic Surveillance

Arizona Cancer Registry
Phone: (602) 542-7314
Email: Arizona Cancer Registry

What if I still have questions?

- Contact the ADHS Meaningful Use Helpdesk

meaningfuluse@azdhs.gov

Active Engagement

Option 1–Completed Registration to Submit Data

Option 2 - Testing and Validation

Option 3 – Production

Option 1: Registration

- Registration of intent to submit data to public health is required within 60 days after the start of the EHR reporting period. The healthcare provider must enter and submit information on the ADHS Registration Site if they meet all of the following:
  - Intend to attest to a new public health measure for Promoting Interoperability
  - Meet criteria to send data to the ADHS system (see measure specific webpages)
  - Have software certified for the measure (EHR packages do not always include software certified for public health measures. Additional modules may be required.)
- If the healthcare provider has previously registered for a measure, they do not need to re-register. If they plan to attest to a new public health measure, they will need to register for the measure.

What are the steps to Actively Engage with an ADHS Public Health Reporting Registry?

- Review the Registry webpage, including their declaration of readiness, criteria for reporting
- Use the Registration of Intent Eligible Professional Worksheet to collect information for the registration
- Go to the AZ Public Health MU Portal

Meaningful Use

Home

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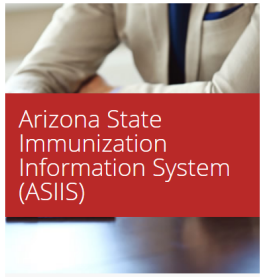
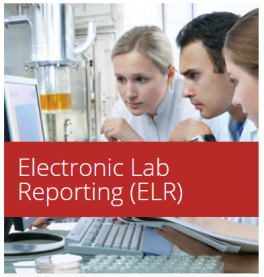


Electronic transmission of immunization records.



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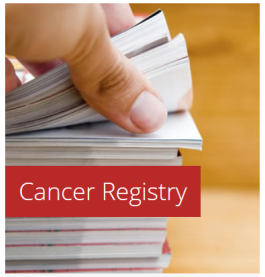

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Example of Declaration of Readiness

ARIZONA DEPARTMENT OF HEALTH SERVICES

Health and Wellness for All Arizonans

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Google Custom Search

Meaningful Use

ADHS Home / Public Health Preparedness / Epidemiology & Disease Control / Meaningful Use - Syndromic Surveillance

- Home
- Arizona State Immunization Information System (ASIIS)
- Electronic Lab Reporting (ELR)
- **Syndromic Surveillance**
- Cancer Registry
- Cancer Registry FAQs
- Additional Resources
- Contact Us

Signup for email updates

Syndromic Surveillance

Status

The Arizona Department of Health Services is currently accepting electronic submissions of Syndromic Surveillance messages from eligible hospitals and critical access hospitals for Meaningful Use. ADHS is not accepting Syndromic Surveillance messages from eligible professionals for Meaningful Use. As of July 1, 2016, ADHS is declaring readiness to accept Stage 3 Syndromic Surveillance data submissions from hospitals beginning on January 1, 2017. ADHS will accept submissions from both 2014 Edition and 2015 Edition Certified Electronic Health Record Technology.

Background

Syndromic surveillance is a public health measure available for hospitals in Arizona for the Meaningful Use electronic health record incentive program. This Syndromic Surveillance consists of receiving inpatient and emergency department data in a timely manner so that public health can use pre-diagnostic clinical data to understand what is happening in the community. Arizona uses the national BioSense Platform to receive data and conduct Syndromic Surveillance activities. Syndromic Surveillance data is used to support event detection, increase situational awareness, and focus public health actions. Specifically, Arizona has used the data to monitor health during large public events, understand the severity of influenza and look for patients with emerging diseases such as dengue, chikungunya and Zika.

Steps for Providers

Cancer - Restrictions on Provider Types

As of **July 1, 2016** the ACR will only accept Meaningful Use/Promoting Interoperability submissions from providers who meet the following **additional criteria**.

The reporting EP diagnosis or treats **100 or more** reportable cancer cases in a calendar year, and:

- EP for this reporting facility is licensed under one or more of the following **specialties**:
  - Dermatologists
  - Gastroenterologists
  - Hematologists
  - Medical Oncologists
  - Radiation Oncologists
  - Surgeons
  - Urologists

EP Worksheet

Registration of Intent

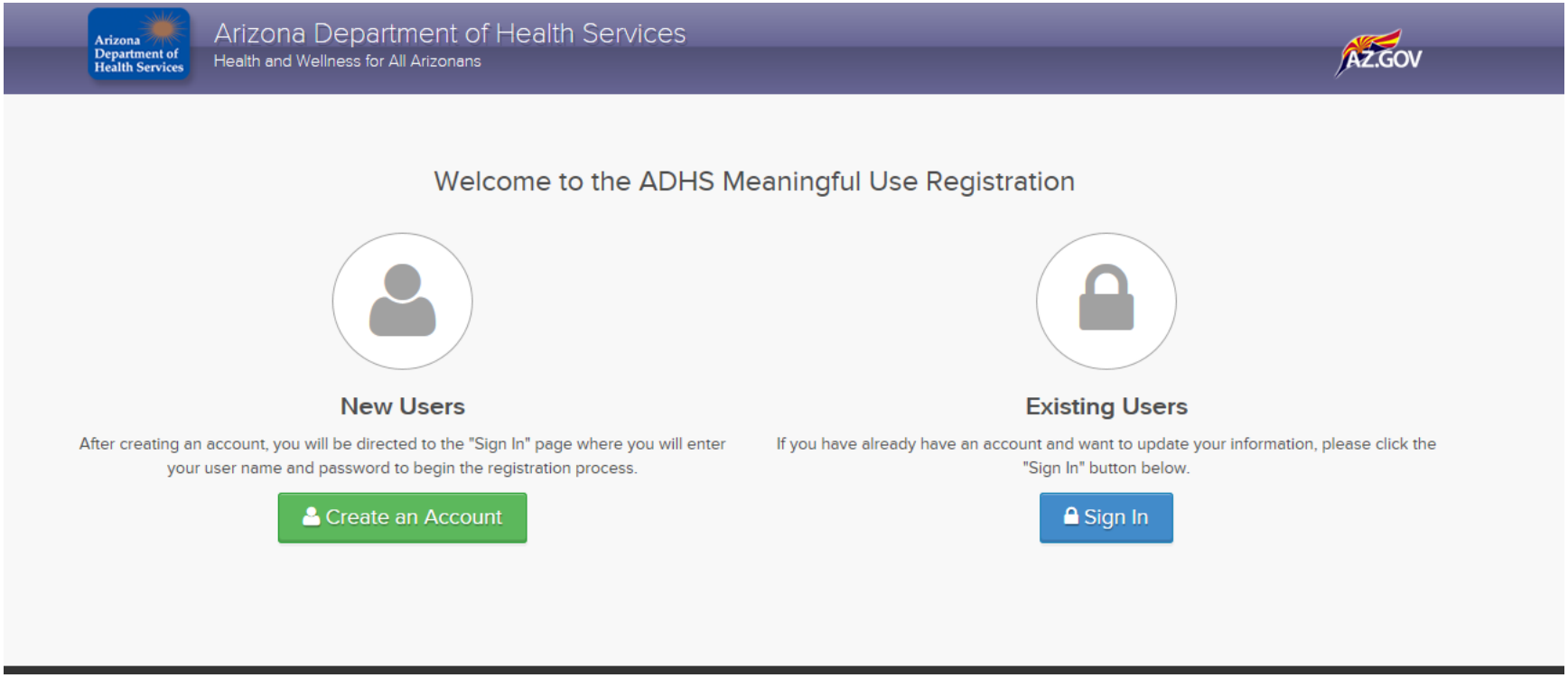
EPs, EHs and CAHs planning to send data to ADHS should register their intent to submit messages for the MU Public Health measures within 60 days after the start of the EHR reporting period. ADHS will provide information and instructions once the registration has been submitted.

Please complete one registration for each group of hospitals (EH/CAH) or eligible professionals (EP) in the organization that are implementing the public health measures in the same reporting period and are using the same software(s) for creating the messages for public health.

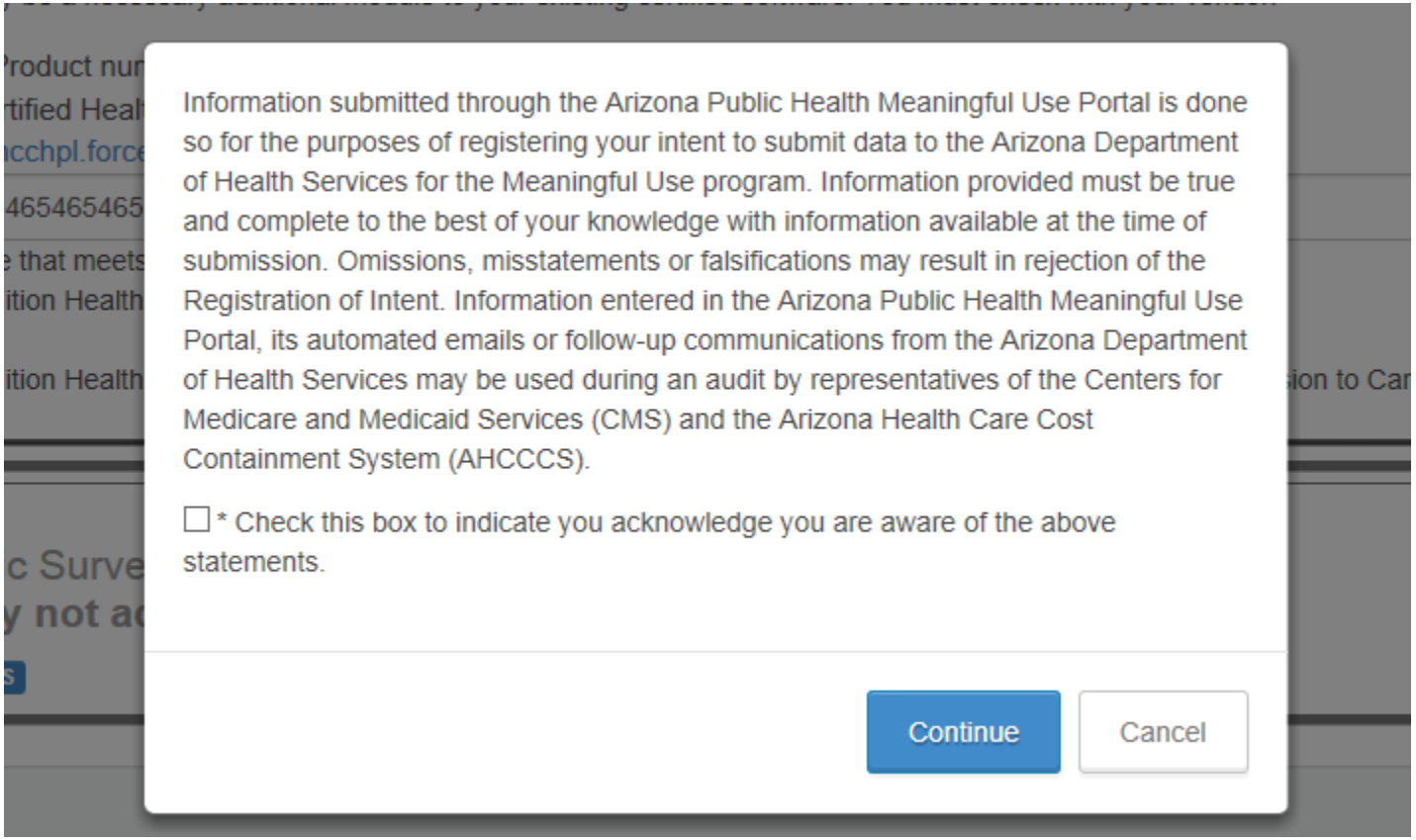
- Set aside 25-30 minutes to complete the process
  - Have all the Organization/Site and EHR vendor/IT technical support contact information available (contact names, email addresses, phone #s).
  - Use the following PDF worksheets to gather the required information for registration with public health. Once the account has been created, you can save your work and return to complete the registration process.
    - EP worksheet
    - EH-CAH worksheet

Register your Intent in the AZ Public Health MU Portal

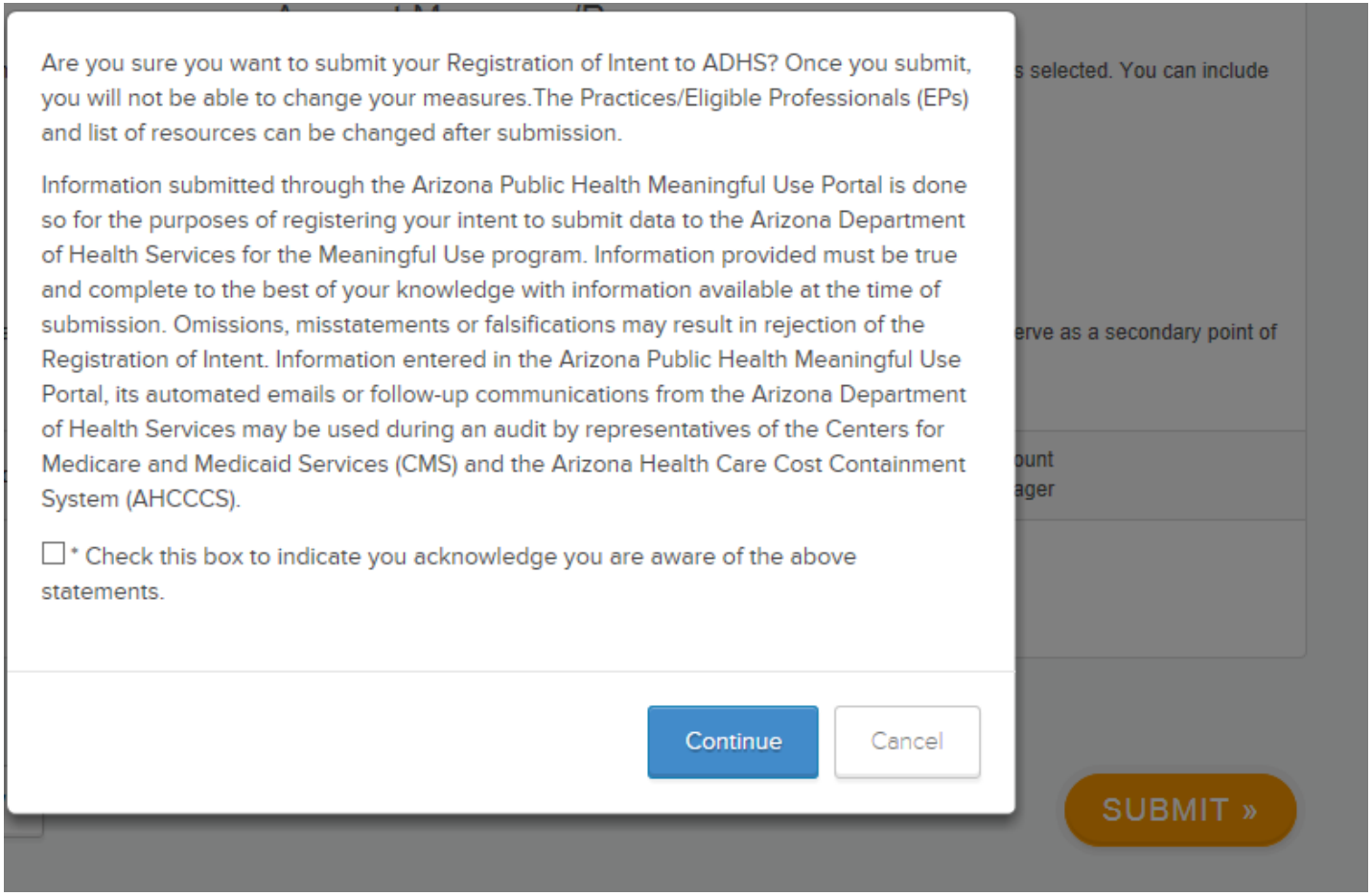
Register your Intent in the AZ Public Health MU Portal



AZ Public Health MU Portal Pre-Registration Screening Disclaimer



AZ Public Health MU Portal
Disclaimer before Registration Submission

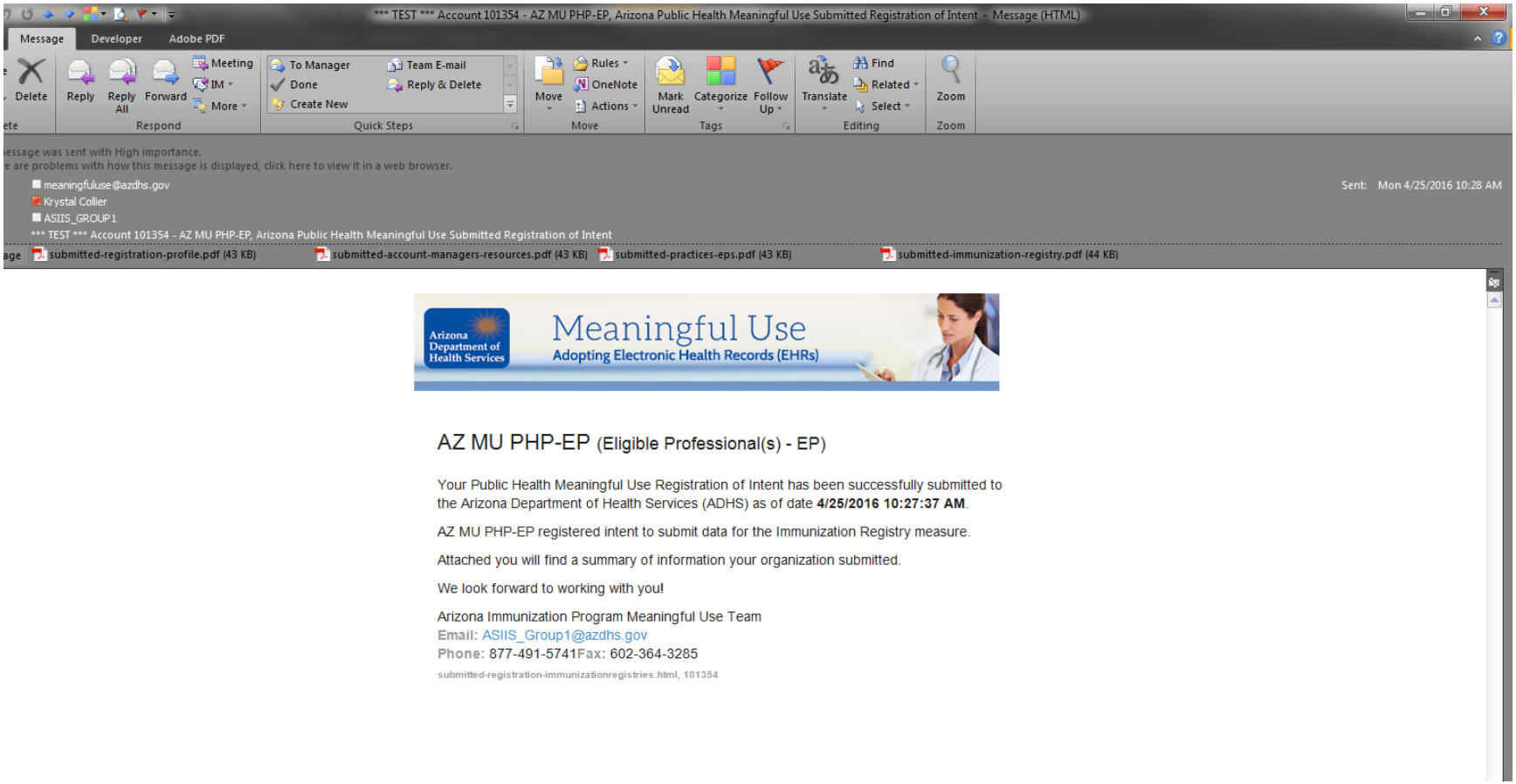


What Does Active Engagement Option 1 Documentation Look Like?

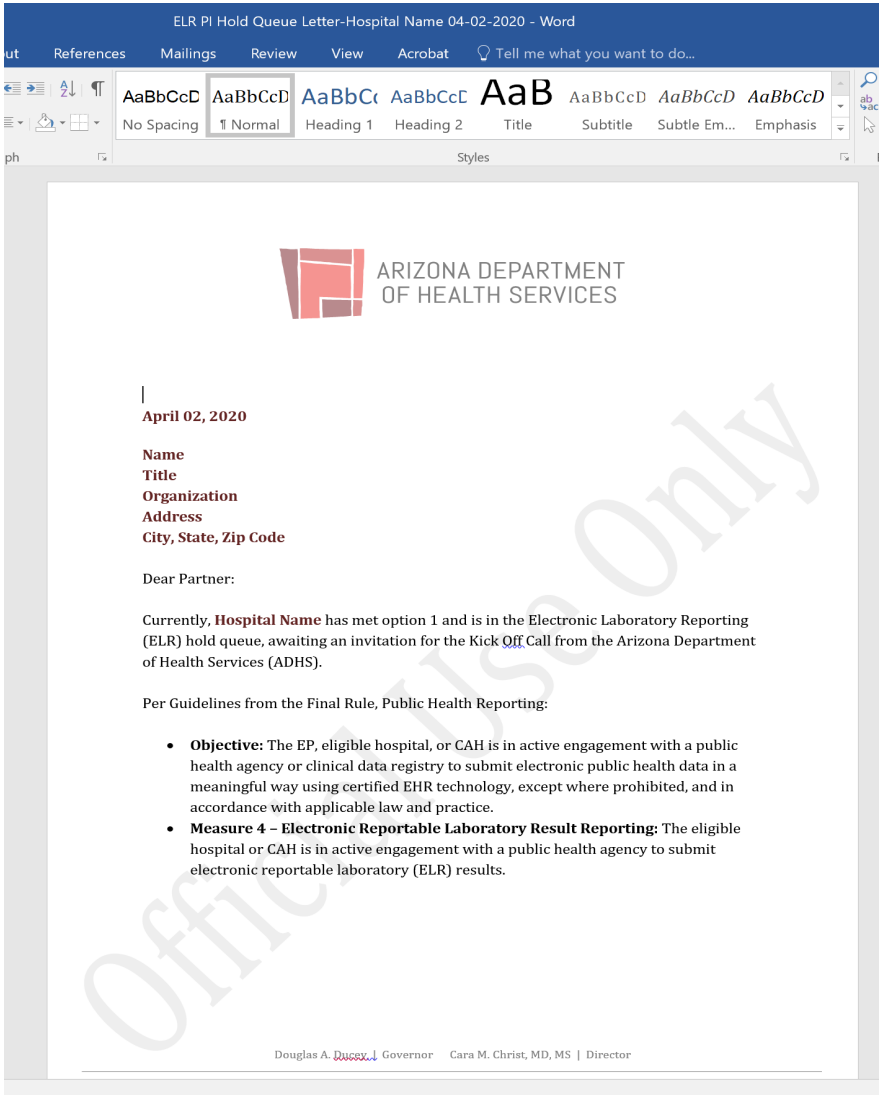
- Registration of Intent Submission emails
- Hold Queue email and letter
- Active Engagement Letter

**Not limited to examples shared in this presentation*

Registration of Intent: Email



Option 1: Registration Letter



*Letters for Official Use Only

Option 2: Testing and Validation

- After registration the healthcare provider may be placed in a hold queue. When ADHS is ready to begin testing, the provider will receive an invitation to begin. The testing and validation process ensures successful transmission and high data quality.

- If a provider fails to respond to requests from ADHS within 30 days on two separate occasions within their reporting period, they fail to meet the measure.

What is a Hold Queue?

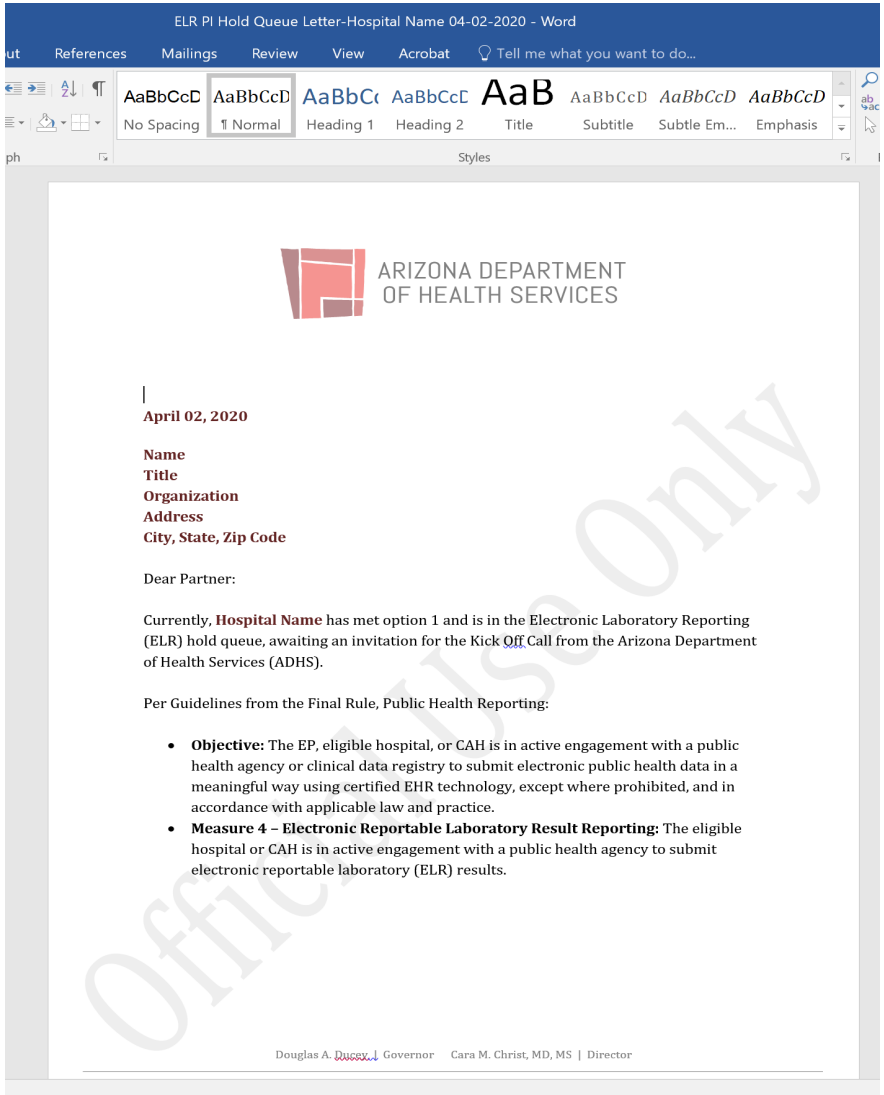
- At any time during active engagement, a provider can be placed into a hold queue.
- It is most often used when there are limited resources to begin testing and validation.
- A provider does not need to re-register their intent if placed in the hold queue.

What Does Active Engagement Option 2 Documentation Look Like?

- Invitation to begin Testing and Validation emails
- Kick Off Call email and calendar appointments
- Onboarding Call, Notes, Emails
- Active Engagement Letter

**Not limited to examples shared in this presentation*

Option 1: Registration and Hold Queue Letter



*Letters for Official Use Only

Immunization Status Email

Subject: Medical Provider - Acknowledgement of Initial Interest Form and Request to Complete Step 2

Attached: Arizona HL7 OnBoarding Process.docx (21 KB); HL7 VXU-V04 Spec 20140113.xlsx (236 KB); Arizona HL7 Specific Rules.docx (34 KB)

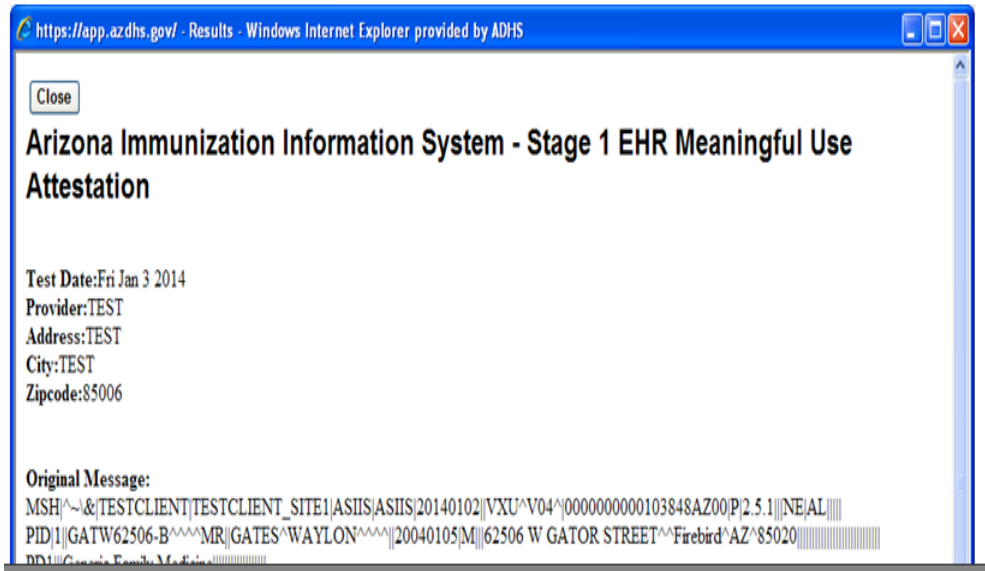
Dear Medical Provider,

Thank you for your interest in interfacing with the Arizona Immunization Registry as described in your initial interest form submitted on *03/01/2015*. Your application only indicates a single site location, if there is more than one location, please provide us that information.

During the testing process you must continue to report immunization information and manage inventory in ASIIS. Usually this would require continuing the current workflow until your HL7 Interface is completed and moved to production. You may consult your EMR vendor and IT staff to determine if any adjustments are necessary.

Attached, you will find documents that will explain and assist you with the On-Boarding process. The first document contains the steps of each phase to be completed. You have already completed the first step with the submission of the Initial Interest Form. Now, you are ready to begin the second step by submitting a system generated 2.5.1 HL7 message to our Provider Testing Tool website and sending me the acknowledgment returned.

To accomplish sending the message, open the **Attestation** page - https://app.azdhs.gov/phs/asiis/ehrinteroperability/Attestation.aspx. Complete the Organization fields with the Provider information. Complete the Contact fields with your contact information. Paste the HL7 Message generated by your EHR into the HL7 Messages box. The message needs to be HL7 version 2.5.1. Press **Submit**. This will generate a results file. Here is a sample **RESULTS** file to assist you:


https://app.azdhs.gov/ - Results - Windows Internet Explorer provided by ADHS

Close

Arizona Immunization Information System - Stage 1 EHR Meaningful Use Attestation

Test Date: Fri Jan 3 2014
Provider:TEST
Address:TEST
City:TEST
Zipcode:85006

Original Message:
MSH|^~\&|TESTCLIENT|TESTCLIENT_SITE1|ASIIS|ASIIS|20140102||VXU^V04^|000000000103848AZ00|P|2.5.1|||NE|AL||||
PID|1||GATW62506-B^^^^MR||GATES^WAYLON^^^^||20040105|M|||62506 W GATOR STREET^^Firebird^AZ^85020||||||||||||||||

Invitation to Kick Off Call

ance Kick Off Call Invitation - syndromicsurveillance@azdhs.gov - State of Arizona Mail - Google Chrome

b/AL_L4G9shWjlPMAjw4fl30leX74kxCgaatv135G-HHStgzGVdN8K/u/0/?ui=2&view=btop&ver=mpypr477k3of&q=western%20arizona%20doodle&qs=true&search=query&th=%23thread

[redacted] Syndromic Surveillance Kick Off Call Invitation Inbox ×

Arizona Syndromic Surveillance Program - ADHS <syndromicsurveillance@azdhs.gov> (sent by krystal.collier@azdhs.gov) Wed, Feb 20, 2019, 12:04 PM

to [redacted] Sara, Yong, Garima, Krystal, Sara

Hi [redacted] and team,

We would like to invite you to have a kick off call to begin working with our team on the syndromic surveillance implementation for [redacted] If possible, we would like to set up the call in the beginning of March 2019. We would also like to know if you would be open to having a joint kick off call with another AZ [redacted] facility?

- **Please complete the following information:**
  - The form attached **"BioSense Platform Data Provider Implementation Resources v2"** with the contact information for the resources that should be invited to the kick off call and will participate in the implementation for both the facilities and your EHR Vendor.
  - The doodle poll with your availability to have a kick off call:https://doodle.com/poll/dkn4gyq6sbhi4q8e
  - We would also like to know if you would be open to having a joint kick off call with another AZ [redacted] facility?

Thank you,
Krystal

Krystal S. Collier, BA

Health Program Manager I

Electronic Disease Surveillance Program

Arizona Department of Health Services

150 North 18th Avenue, Suite 550, Phoenix, AZ 85007

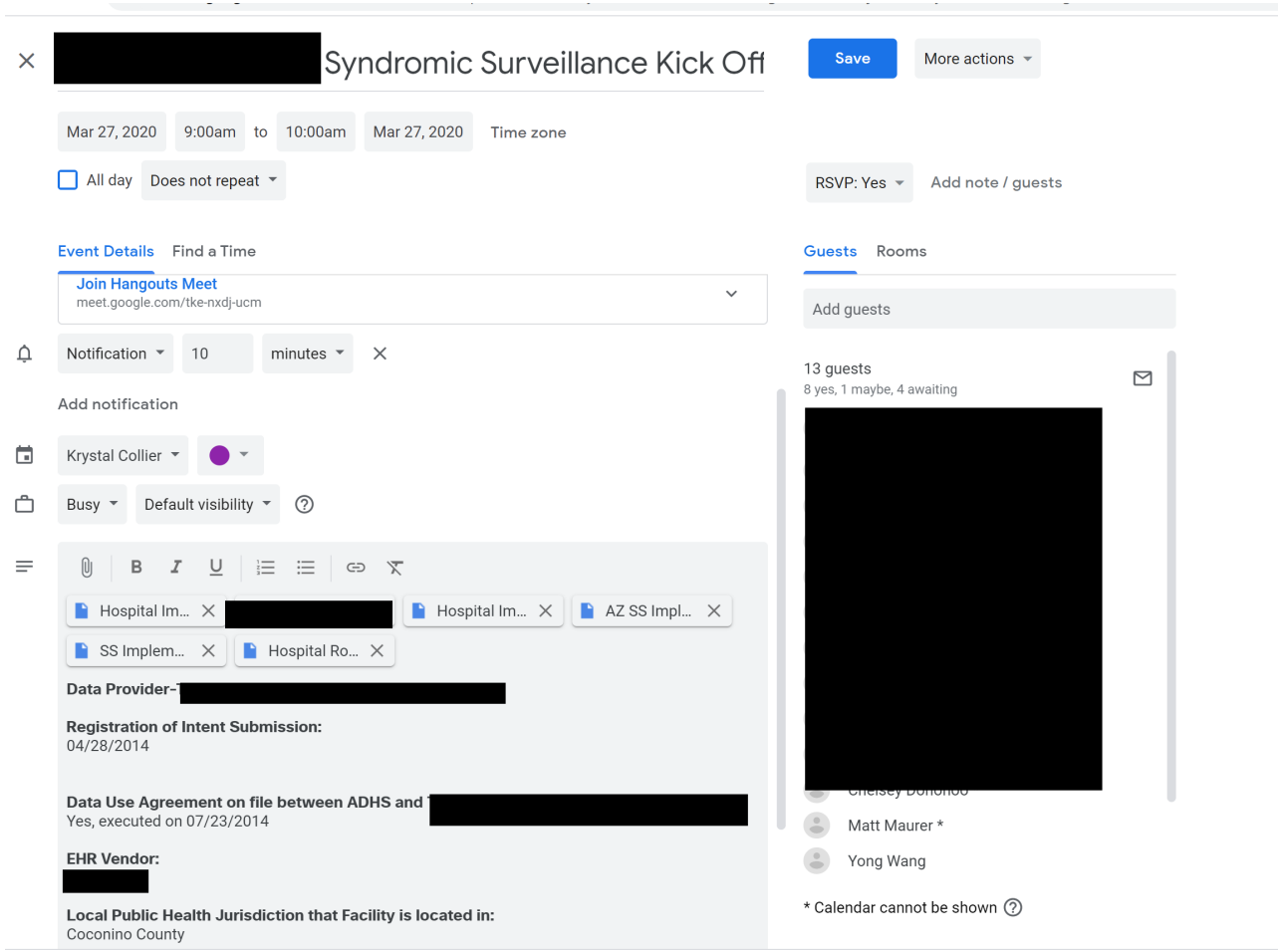
Direct 602-542-6002

Email krystal.collier@azdhs.gov

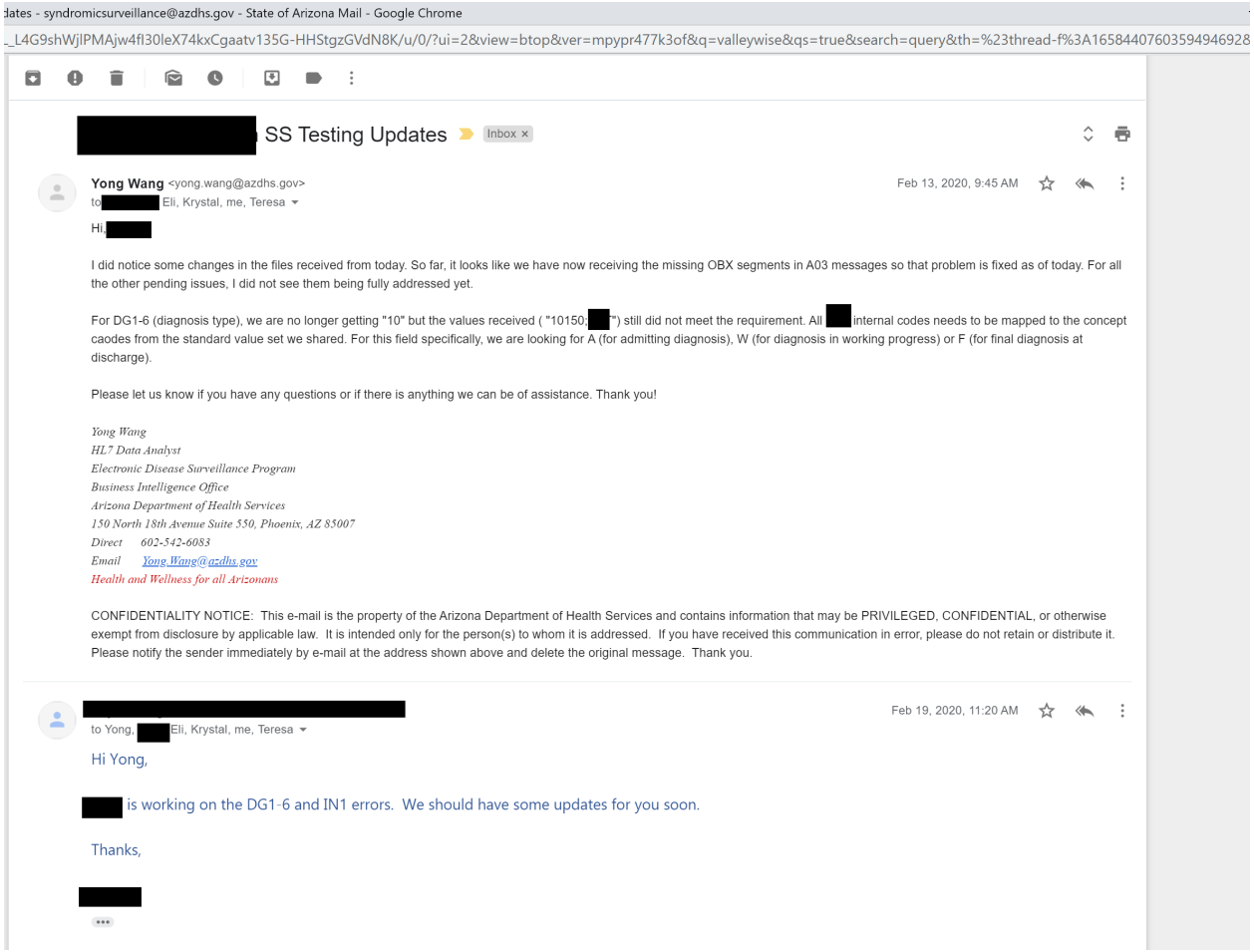
Health and Wellness for all Arizonans

BioSense Platfor…

Kick Off Call



Weekly Onboarding Call/Notes Email



dates - syndromicsurveillance@azdhs.gov - State of Arizona Mail - Google Chrome

_L4G9shWjlPMAjw4fl30leX74kxCgaatv135G-HHStgzGVdN8K/u/0/?ui=2&view=btop&ver=mpypr477k3of&q=valleywise&qs=true&search=query&th=%23thread-f%3A1658440760359494692

[redacted] SS Testing Updates Inbox ×

Yong Wang <yong.wang@azdhs.gov> Feb 13, 2020, 9:45 AM

to [redacted] Eli, Krystal, me, Teresa

Hi [redacted]

I did notice some changes in the files received from today. So far, it looks like we have now receiving the missing OBX segments in A03 messages so that problem is fixed as of today. For all the other pending issues, I did not see them being fully addressed yet.

For DG1-6 (diagnosis type), we are no longer getting "10" but the values received ("10150:[redacted]") still did not meet the requirement. All [redacted] internal codes needs to be mapped to the concept caodes from the standard value set we shared. For this field specifically, we are looking for A (for admitting diagnosis), W (for diagnosis in working progress) or F (for final diagnosis at discharge).

Please let us know if you have any questions or if there is anything we can be of assistance. Thank you!

Yong Wang
HL7 Data Analyst
Electronic Disease Surveillance Program
Business Intelligence Office
Arizona Department of Health Services
150 North 18th Avenue Suite 550, Phoenix, AZ 85007
Direct 602-542-6083
Email Yong.Wang@azdhs.gov
Health and Wellness for all Arizonans

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[redacted] Feb 19, 2020, 11:20 AM

to Yong, [redacted] Eli, Krystal, me, Teresa

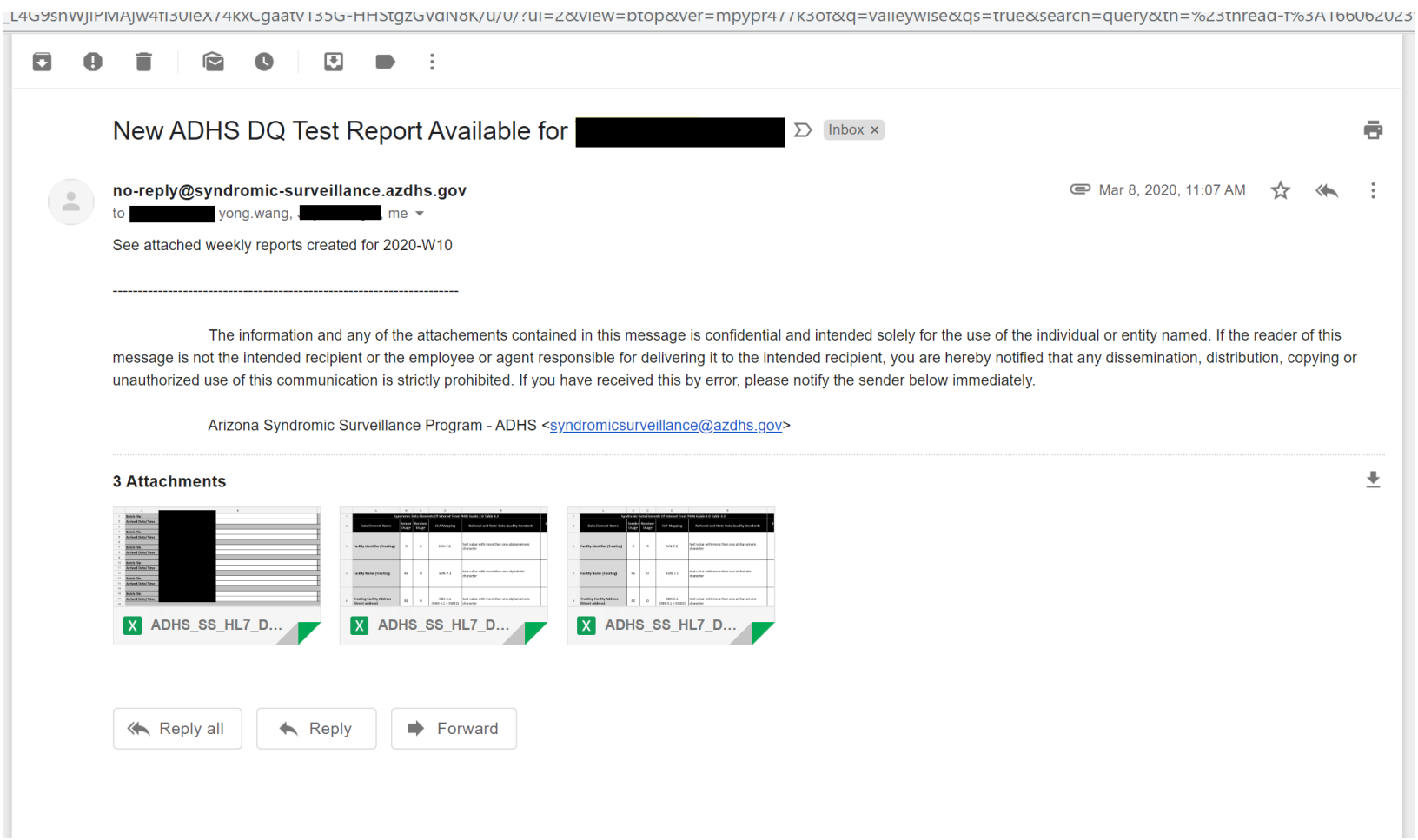
Hi Yong,

[redacted] is working on the DG1-6 and IN1 errors. We should have some updates for you soon.

Thanks,

[redacted]

Onboarding Call/Notes/Email



New ADHS DQ Test Report Available for [redacted] Inbox ×

no-reply@syndromic-surveillance.azdhs.gov Mar 8, 2020, 11:07 AM

to [redacted] yong.wang, [redacted] me

See attached weekly reports created for 2020-W10

The information and any of the attachements contained in this message is confidential and intended solely for the use of the individual or entity named. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any dissemination, distribution, copying or unauthorized use of this communication is strictly prohibited. If you have received this by error, please notify the sender below immediately.

Arizona Syndromic Surveillance Program - ADHS <syndromicsurveillance@azdhs.gov>

3 Attachments

ADHS_SS_HL7_D... ADHS_SS_HL7_D... ADHS_SS_HL7_D...

Reply all Reply Forward

Onboarding Call/Notes/Email

Update on HL7 Data Issues Found in [redacted]/ADHS ELR Test Submissions in Last 10 Days

Inbox × Meaningful Use/ELR ×

Yong Wang
to [redacted]Eli, Arizona

Mar 19, 2020, 5:31 PM

Hi, [redacted]ELR team

Please see updated issue list from our last communication as well as another list of accession numbers for tests received in the last 10 days with no SCT codes in specimen type fields.

Please let us know if you have any questions or concerns.

Thank you!

Yong Wang
HL7 Data Analyst
Electronic Disease Surveillance Program
Business Intelligence Office
Arizona Department of Health Services
150 North 18th Avenue Suite 550, Phoenix, AZ 85007
Direct 602-542-6083
Email Yong.Wang@azdhs.gov
Health and Wellness for all Arizonans

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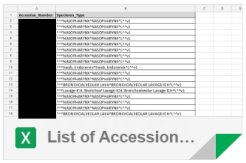
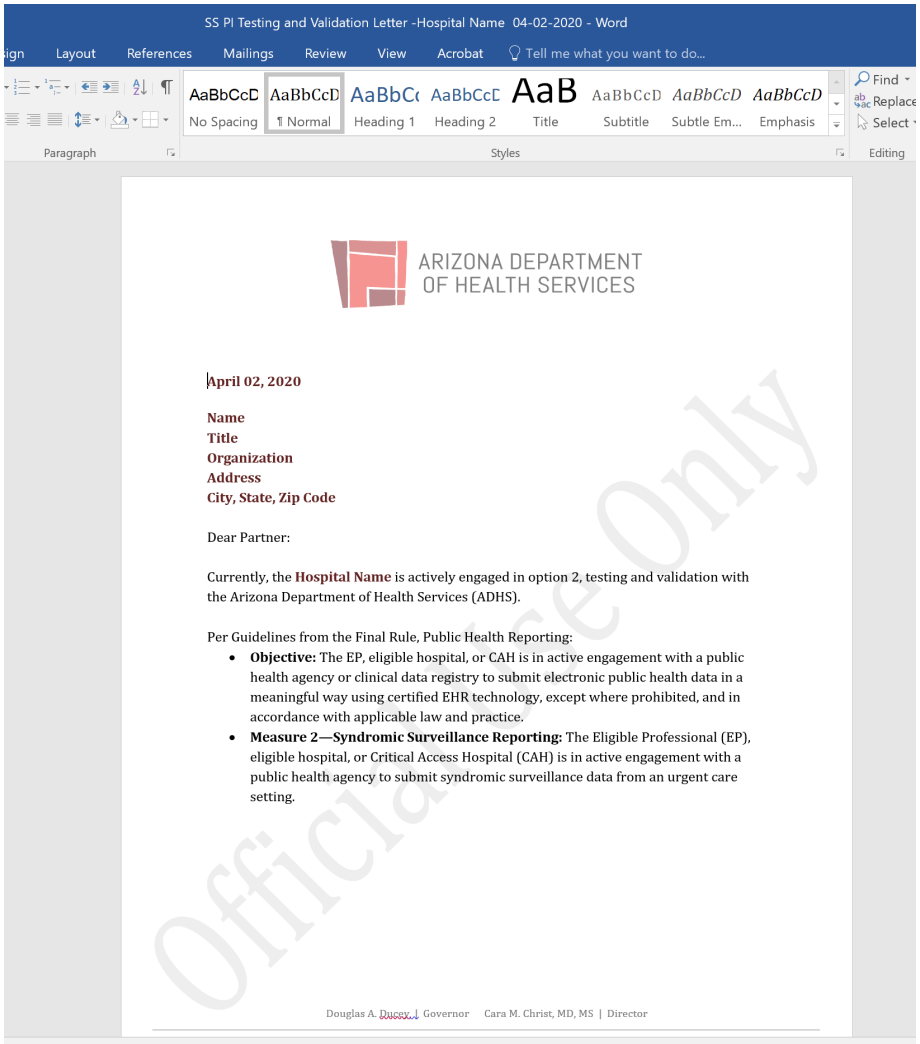
2 Attachments

[redacted]ELR HL7 Da...


List of Accession...

Option 2: Testing and Validation Letter



*Letters for Official Use Only

Option 3: Production

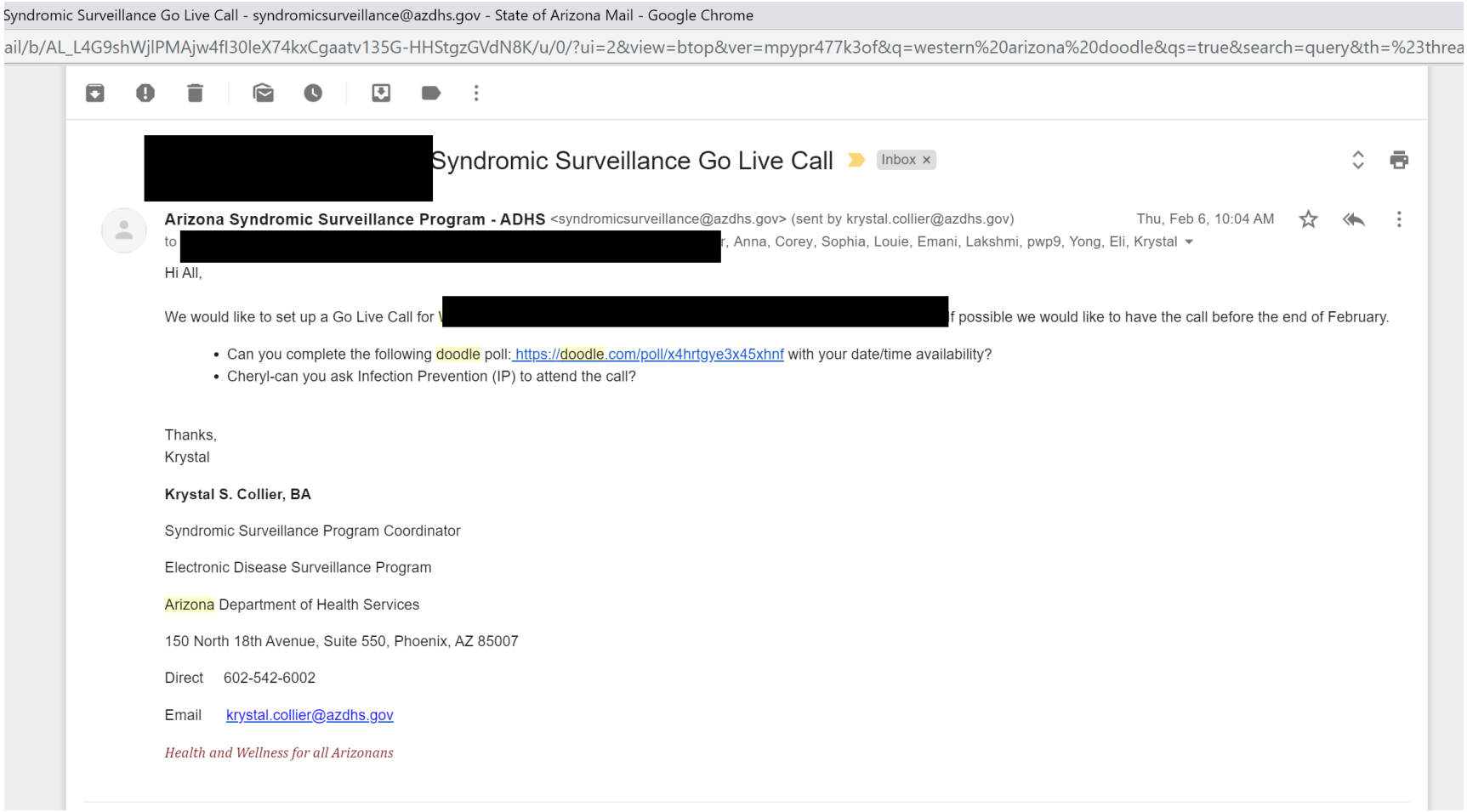
- ADHS will notify the healthcare provider when their data is fully validated and flowing to the Production system at ADHS. After that ADHS will contact the provider to resolve any issues with submission or data quality that arise.

What Does Active Engagement Option 3 Documentation Look Like?

- Invitation to begin Testing and Validation emails
- Go Live Call email and calendar appointments
- Active Engagement Letter

**Not limited to examples shared in this presentation*

Invitation to Go Live Call



Syndromic Surveillance Go Live Call - syndromicsurveillance@azdhs.gov - State of Arizona Mail - Google Chrome

ail/b/AL_L4G9shWjlPMAjw4fl30leX74kxCgaatv135G-HHStgzGVdN8K/u/0/?ui=2&view=btop&ver=mpypr477k3of&q=western%20arizona%20doodle&qs=true&search=query&th=%23threa

[redacted] Syndromic Surveillance Go Live Call Inbox ×

Arizona Syndromic Surveillance Program - ADHS <syndromicsurveillance@azdhs.gov> (sent by krystal.collier@azdhs.gov) Thu, Feb 6, 10:04 AM

to [redacted], Anna, Corey, Sophia, Louie, Emani, Lakshmi, pwp9, Yong, Eli, Krystal

Hi All,

We would like to set up a Go Live Call for [redacted] if possible we would like to have the call before the end of February.

- Can you complete the following doodle poll: https://doodle.com/poll/x4hrtgye3x45xhnf with your date/time availability?
- Cheryl-can you ask Infection Prevention (IP) to attend the call?

Thanks,
Krystal

Krystal S. Collier, BA

Syndromic Surveillance Program Coordinator

Electronic Disease Surveillance Program

Arizona Department of Health Services

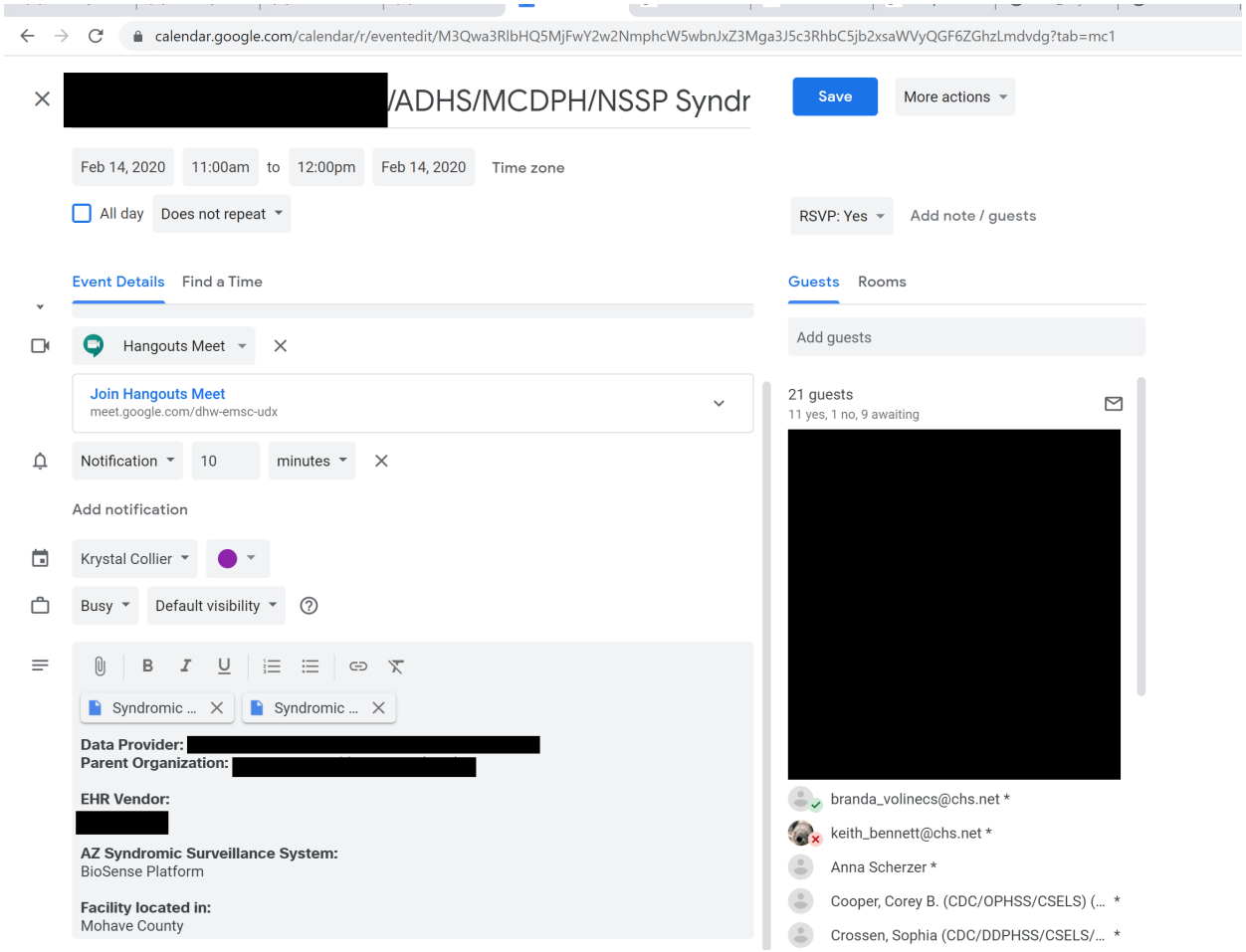
150 North 18th Avenue, Suite 550, Phoenix, AZ 85007

Direct 602-542-6002

Email krystal.collier@azdhs.gov

Health and Wellness for all Arizonans

Go Live Call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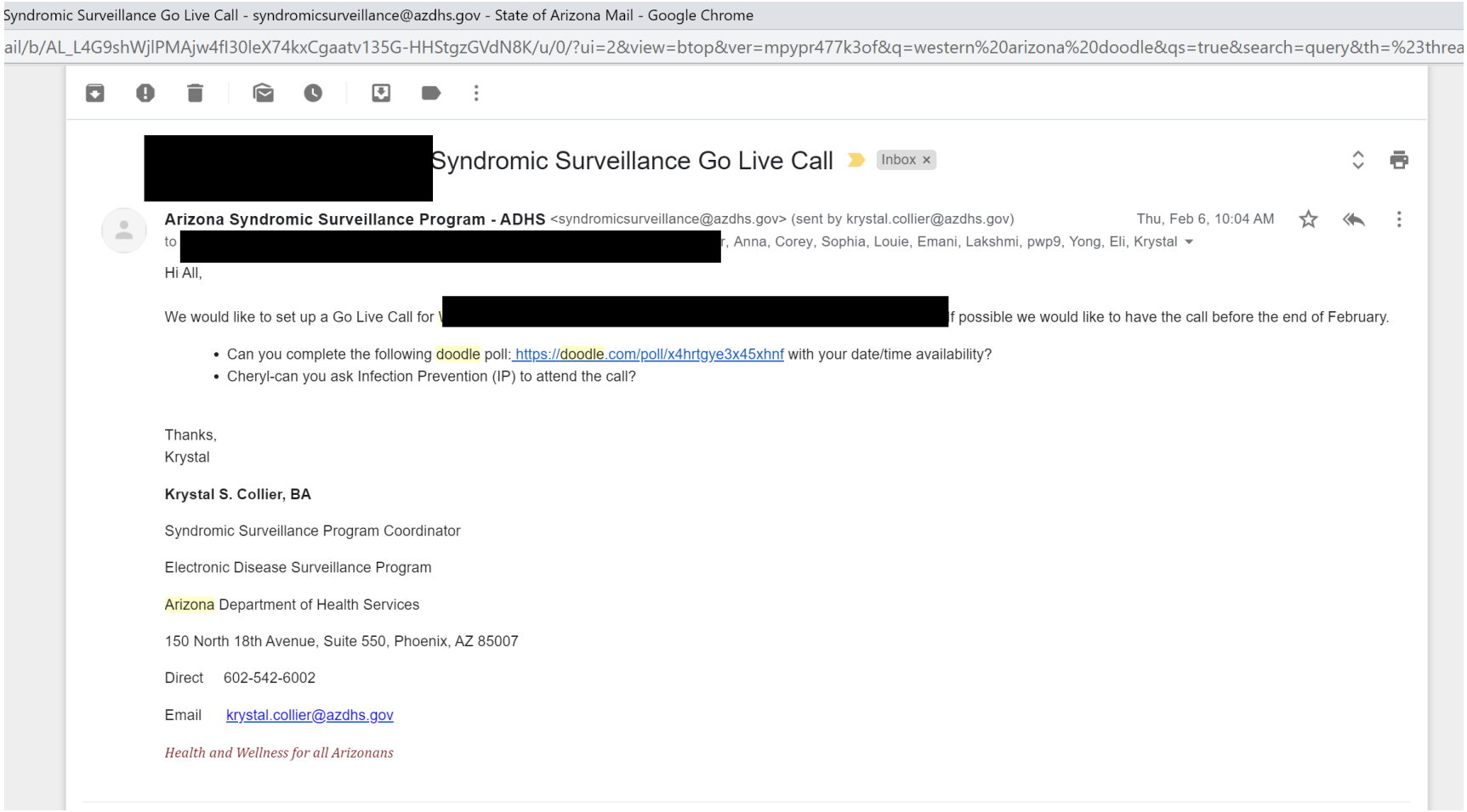
[redacted]/ADHS/MCDPH/NSSP Syndr
Save
More actions
Feb 14, 2020
11:00am
to
12:00pm
Feb 14, 2020
Time zone
All day
Does not repeat
RSVP: Yes
Add note / guests
Event Details
Find a Time
Guests
Rooms
Hangouts Meet
Add guests
Join Hangouts Meet
meet.google.com/dhw-emsc-udx
21 guests
11 yes, 1 no, 9 awaiting
Notification
10
minutes
Add notification
Krystal Collier
Busy
Default visibility
Syndromic ...
Syndromic ...
Data Provider: [redacted]
Parent Organization: [redacted]
EHR Vendor:
[redacted]
AZ Syndromic Surveillance System:
BioSense Platform
Facility located in:
Mohave County
[redacted]
branda_volinecs@chs.net *
keith_bennett@chs.net *
Anna Scherzer *
Cooper, Corey B. (CDC/OPHSS/CSELS) (... *
Crossen, Sophia (CDC/DDPHSS/CSELS/... *

Go Live Call Email


Syndromic Surveillance Go Live Call - syndromicsurveillance@azdhs.gov - State of Arizona Mail - Google Chrome

ail/b/AL_L4G9shWjlPMAjw4fl30leX74kxCgaatv135G-HHStgzGVdN8K/u/0/?ui=2&view=btop&ver=mpypr477k3of&q=western%20arizona%20doodle&qs=true&search=query&th=%23threa

[redacted] Syndromic Surveillance Go Live Call Inbox ×

Arizona Syndromic Surveillance Program - ADHS <syndromicsurveillance@azdhs.gov> (sent by krystal.collier@azdhs.gov) Thu, Feb 6, 10:04 AM

to [redacted], Anna, Corey, Sophia, Louie, Emani, Lakshmi, pwp9, Yong, Eli, Krystal

Hi All,

We would like to set up a Go Live Call for [redacted] if possible we would like to have the call before the end of February.

- Can you complete the following doodle poll: https://doodle.com/poll/x4hrtgye3x45xhnf with your date/time availability?
- Cheryl-can you ask Infection Prevention (IP) to attend the call?

Thanks,
Krystal

Krystal S. Collier, BA

Syndromic Surveillance Program Coordinator

Electronic Disease Surveillance Program

Arizona Department of Health Services

150 North 18th Avenue, Suite 550, Phoenix, AZ 85007

Direct 602-542-6002

Email krystal.collier@azdhs.gov

Health and Wellness for all Arizonans

Immunization Testing Status Email

Provider HL7 Live Interface Inbox ×

Roger Aikin 1:59 PM (35 minutes ago)

to me

Provider

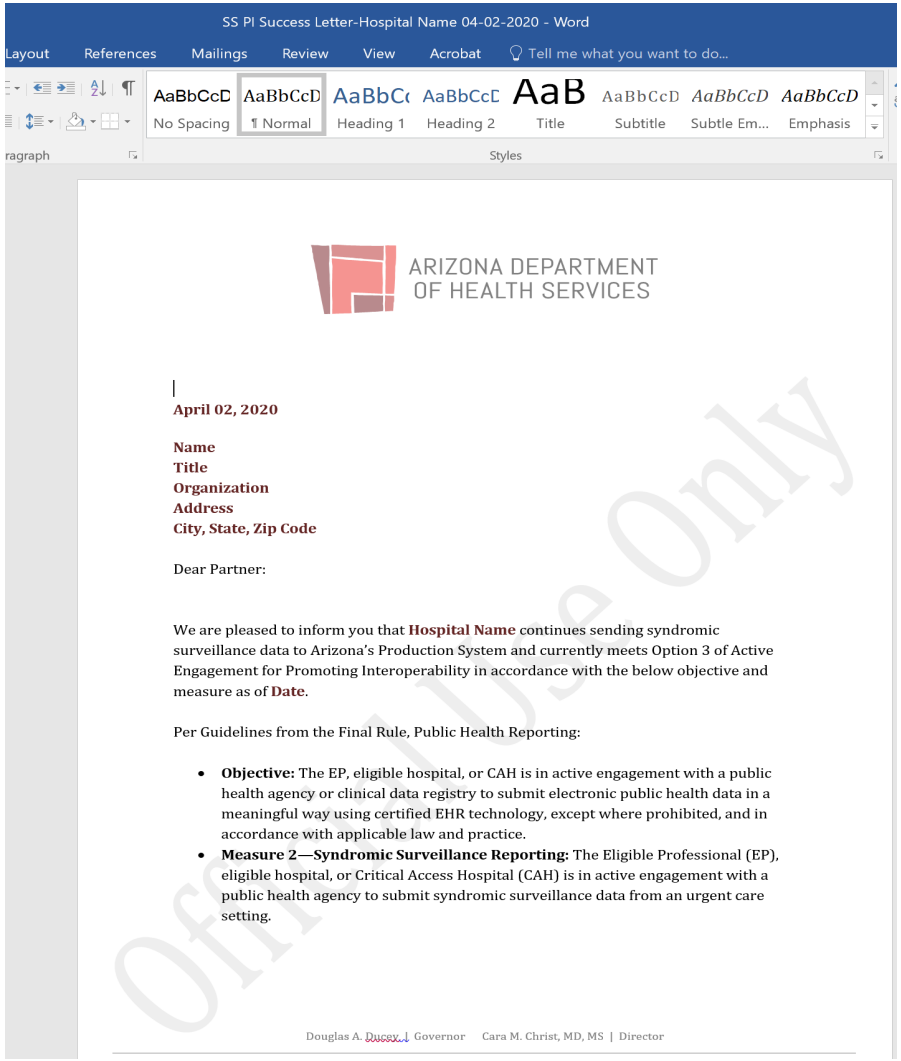
DATE

Dear **Provider,**

Provider registered intent with Arizona State Immunization Information System to provide ongoing submission of immunization data on **registration date. Provider** have been continuously providing Immunization messages to ASIIS via an HL7 2.5.1 Interface since **Go-live Date**. **Provider** has been actively engaged and continued to report during **year**.

Roger Aikin
ASIIS Interoperability Coordinator
Arizona Department of Health Services
150 N. 18th Ave., Suite 120
Phoenix, AZ 85007
(602) 542-8901
Health and Wellness for all Arizonans

Option 3: Production Letter



*Letters for Official Use Only

Common Questions

- Can I have an exclusion letter?
- Are you accepting data for X registry?
- Can I submit to X registry, even if I **don't** meet the criteria for administering, diagnosing or clinically treating that disease or condition, and/or I **don't** have the certified software to send that data to the public health registry at ADHS?
- Why do I need to know my reporting period?

Does ADHS Provide Exclusion Letters?

- **NO**

- ADHS does not provide exclusions or exclusions letters
- ADHS can tell you which of our registries have declared their readiness and available and what healthcare provider types
- Join us next week for AHCCCS' presentation, to learn more about exclusions

PH Measures Assistance Email (Exclusions)

From: meaningfuluse
Sent: Friday, April 15, 2016 11:24 AM
To: Clinic
Cc: Krystal Collier; Sara Imholte; ASIIS_GROUP1; Arizona Syndromic Surveillance Program; Arizona Cancer Registry
Subject: RE: EP: Meaningful Use Request – Clinic Name-Public Health Objective

Hi,

Thanks for speaking with me today. You were calling to find out about for the Public Health Measures for 2015 and 2016. Per our discussion the EP specialty is an Ophthalmologist in Stage 2 and is participating in the Medicare portion of the EHR Incentive Program. You noted the CMS audit is for the reporting period of October to December 2015. ADHS Meaningful Use webpage is great place to find information such as our declared readiness for the Public Health Measures we are currently accepting. I did make reference to other specialized registries that may be available to your EPs outside of the Public Health Agency (PHA=ADHS in this case) and said I would attach webinar slide deck along with CMS FAQs we discussed. Please feel free to share this information and let us know if you have any additional questions.

Immunization Registry:

- Do you administer immunizations? The EP you are calling on behalf of does not administer immunizations.

Syndromic Surveillance:

- The Arizona Department of Health Services is not accepting syndromic surveillance submissions from Eligible Professionals (EPs) for Meaningful Use. At this time we do not have plans to accept syndromic surveillance messages from EPs. Please check out our website next year for any status updates at that time (http://azdhs.gov/meaningful-use).
  - Eligible Professionals
    - Use this Specification sheet from CMS to learn more about the Public Health Objective 10, measures and exclusions

  Measure 2 Exclusions: Any EP meeting one or more of the following criteria may be excluded from the syndromic surveillance reporting measure if the EP--

  - Is not in a category of providers from which ambulatory syndromic surveillance data is collected by their jurisdiction's syndromic surveillance system;
  - Operates in a jurisdiction for which no public health agency is capable of receiving electronic syndromic surveillance data from EPs in the specific standards required to meet the CEHRT definition at the start of the EHR reporting period; or
  - Operates in a jurisdiction where no public health agency has declared readiness to receive syndromic surveillance data from EPs at the start of the EHR reporting period. (this is the language I referred to during our call)

Specialized Registry:

- Arizona Department of Health Services is accepting electronic submissions for the Cancer Registry at this time.
- Key Questions to help you, if you need to Register your Intent with ADHS for the Cancer Registry:
  - Do you diagnose or treat cancer patients? The EP you are calling on behalf of does not diagnose or treat cancer patients.
- CDC MU and ONC webinar, CMS FAQs for Specialized Registry Reporting and CMS Specification Sheets for Public Health Measures:
  - I am attaching a slide deck from a webinar that CDC, ONC and CMS held last October shortly after the new rules were released. I suggest looking at slide 12 which correspondence to language for the CMS final rules on page 62820 of the

Registration after 60 day deadline: 14393

[EHR Incentive Programs] Can a provider register their intent after the first 60 days of the reporting period in order to meet the measures if a registry becomes available after that date?

If a registry declares readiness at any point in the calendar year after the initial 60 days, a provider may still register their intent to report with that registry to meet the measure under Active Engagement Option 1. However, a provider who could report to that registry may still exclude for that calendar year if they had already planned to exclude based on the registry not being ready to allow for registrations of intent within the first 60 days of the reporting period.

Created 02/25/2016

(FAQ14393)

Was this answer helpful? Yes No

submit a request or question

notify me

share

Documentation of Active Engagement Summary

Registration	Testing and Validation	Production
• Email confirmation from the ADHS MU Registration site • Email "letter" from ADHS with status	• Email communications • Onboarding meeting notes • Acknowledgements of messages received for testing • Email "letter" from ADHS with status	• Email when ADHS puts them in production • Production acknowledgements of messages received • Submission report • Email "letter" from ADHS with status

If claiming an exclusion:

- Screenshot of the ADHS website with status of measure
- Email "letter" from ADHS with status

CMS Supporting Documents for Audits

Meaningful Use Objective	Audit Validation	Suggested Documentation
Immunization Registry Reporting, Syndromic Surveillance Reporting, Specialized Registry Reporting and Electronic Reportable Laboratory Result Reporting (eligible hospitals and CAHs only)	Active engagement with a public health agency to submit electronic data from certified EHR technology for the EHR reporting period.	•Dated screenshots from the EHR system that document successful submission to the registry or public health agency. Should include evidence to support that it was generated for that provider's system (e.g., identified by National Provider Identifier (NPI), CMS Certification Number (CCN), provider name, practice name, etc.). • A dated record of successful electronic transmission (e.g., screenshot from another system, etc.). Should include evidence to support that it was generated for that provider (e.g., identified by National Provider Identifier (NPI), CMS Certification Number (CCN), provider name, practice name, etc.). • Letter or email from registry or public health agency confirming receipt of submitted data, including the date of the submission and name of sending and receiving parties.
Exclusions	Documentation to support each exclusion to a measure claimed by the provider.	Report from the certified EHR system that shows a zero denominator for the measure or otherwise documents that the provider qualifies for the exclusion. For exclusions to public health reporting objectives, a letter, email, or screenshot from the registry that demonstrates the provider was unable to submit and would therefore qualify under one of the provided exclusions to the objective.
Alternate Exclusions and Specifications	Documentation to support each exclusion to a measure claimed by the provider.	CMS will not require documentation that a provider did not intend or plan to attest to a menu objective for the provider to claim the alternate exclusion.

Failed Audit Meaningful Use Appeal (Note: Documentation is required only for measures failed on audit)

- CEHRT proof of purchase (date, version number and provider information)
- Dated reports/screenshots from CEHRT that validate:
  - Core Measures and/or Exclusions
  - Menu Measures and/or Exclusions
  - Security risk analysis performed prior to the end of the reporting period
  - Patient list by condition from the EHR reporting period; patient-identifiable information (PII) should be masked before submission
  - A zero denominator for excluded measures and documentation justifying the exclusion
  - **Documentation of a test submission to the registry or public health agency (whether successful or unsuccessful) that includes provider information**

Failed Audit Meaningful Use Appeal (Note: Documentation is required only for measures failed on audit)

- Dated letter or email:
  - **From immunization registry or public health agency confirming receipt, ongoing data submissions, date of submission and name of parties transmitting data**
  - From vendor verifying CEHRT possession or other measures

What if I still have questions?

- Contact the ADHS Meaningful Use Helpdesk

meaningfuluse@azdhs.gov

Resources

- ADHS Meaningful Use Website
- ADHS Public Health MU Portal
- AHCCCS Electronic Health Records Incentive Program
- HealthCurrent AHCCCS Promoting Interoperability Program
- CDC National Health Care Surveys
- National Institute of Health Registries

Resources
Contact Us

Agency	Help With	Email	Phone
ADHS	Arizona State Immunization Information Systems	ASIIS_Group1@azdhs.gov	(602) 364-3899
ADHS	Electronic Laboratory Reporting	ELR@azdhs.gov	(602) 542-6002
ADHS	Syndromic Surveillance	SyndromicSurveillance@azdhs.gov	(602) 542-6002
ADHS	Arizona Cancer Registry	CancerRegistry@azdhs.gov	(602) 542-7314
AHCCCS	PI Program	EHRIncentivePayments@azahcccs.gov	(602) 417-4333

Q & A

THANK YOU

meaningfuluse@azdhs.gov | 602-542-6002

azhealth.gov

@azdhs

facebook.com/azdhs

Thank You