

Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
Total Funds - All Populations
For the Period October 1, 2011 - September 30, 2016
Revised September 2, 2015

	Estimate 2012 DY 1	Estimate 2013 DY 2	Estimate 2014 DY 3	Estimate 2015 DY 4	Estimate 2016 DY 5	Total
Without Waiver Expenditure Limit Calculation						
Member Months						
TANF/SOBRA	11,709,601	11,627,598	12,761,742	13,844,137	14,164,915	64,107,993
SSI	1,949,627	1,975,079	2,034,535	2,125,424	2,199,132	10,283,798
AC	1,636,981	975,427	228,509	-	-	2,840,917
ALTCS-EPD	343,024	344,727	343,347	349,951	356,514	1,737,562
ALTCS-DD	294,558	307,307	316,445	328,195	339,879	1,586,384
Family Planning Extension	50,036	56,207	25,025	15,638	16,559	163,465
Expansion State Adults	-	-	1,401,590	2,632,416	2,698,018	6,732,024
Combined	15,983,827	15,286,345	17,111,193	19,295,761	19,775,017	87,452,144
Without Waiver PMPM						
TANF/SOBRA	585.28	615.71	647.73	681.41	716.85	653.06
SSI	885.41	938.53	994.84	1,054.53	1,117.81	1,001.91
AC	573.60	559.29	696.05	-	-	578.53
ALTCS-EPD	4,737.37	4,983.71	5,242.86	5,515.49	5,802.30	5,261.35
ALTCS-DD	4,922.38	5,217.72	5,530.78	5,862.63	6,214.39	5,572.28
Family Planning Extension	16.60	17.63	13.01	13.40	14.07	15.84
Expansion State Adults	-	-	581.16	596.95	615.07	600.92
Weighted	787.94	842.64	865.77	886.24	933.14	867.25
Without Waiver Expenditure Limit						
TANF/SOBRA	6,853,395,200	7,159,228,400	8,266,163,000	9,433,533,500	10,154,119,400	41,866,439,500
SSI	1,726,219,200	1,853,670,900	2,024,037,200	2,241,323,600	2,458,212,200	10,303,463,100
AC	938,969,500	545,543,500	159,053,400	-	-	1,643,566,400
ALTCS-EPD	1,625,031,600	1,718,019,300	1,800,117,800	1,930,149,800	2,068,599,800	9,141,918,300
ALTCS-DD	1,449,926,300	1,603,441,900	1,750,185,900	1,924,087,400	2,112,141,600	8,839,783,100
Family Planning Extension	830,500	990,900	325,500	209,600	233,000	2,589,500
Expansion State Adults	-	-	814,548,100	1,571,418,400	1,659,467,800	4,045,434,300
Total	12,594,372,300	12,880,894,900	14,814,430,900	17,100,722,300	18,452,773,800	75,843,194,200
DSH Allotment	154,370,000	161,973,800	160,613,000	160,613,000	160,613,000	798,182,800
Total Without Waiver Expenditure Limit	12,748,742,300	13,042,868,700	14,975,043,900	17,261,335,300	18,613,386,800	76,641,377,000
With Waiver Expenditures						
TANF/SOBRA	3,414,757,500	3,314,309,400	4,086,187,500	4,533,829,000	4,828,175,000	20,177,258,400
SSI	1,363,661,300	1,319,080,900	1,548,762,100	1,653,968,300	1,777,314,300	7,662,786,900
AC	938,969,500	545,543,500	159,053,400	-	-	1,643,566,400
ALTCS-EPD	1,090,712,700	1,107,591,200	1,222,677,500	1,270,955,500	1,350,382,500	6,042,319,400
ALTCS-DD	942,977,200	993,531,600	1,059,006,400	1,120,377,200	1,192,654,100	5,308,546,500
Family Planning Extension	830,500	990,900	325,500	209,600	233,000	2,589,500
Expansion State Adults	-	-	814,548,100	1,571,418,400	1,659,467,800	4,045,434,300
AI/AN Uncompensated Care	22,866,700	119,766,200	40,833,600	13,947,500	3,486,900	200,900,900
SNCP/DSHP	352,000,000	352,000,000	83,000,000	-	-	787,000,000
City of Phoenix SNCP	-	385,000,000	96,250,000	-	-	481,250,000
HPE Serv for Preg Women	-	-	-	262,500	350,000	612,500
Tuba City	-	-	-	321,300	448,200	769,500
PCH SNCP	-	-	102,750,000	137,000,000	137,000,000	376,750,000
Expenditure Subtotal	8,126,775,400	8,137,813,700	9,213,394,100	10,302,289,300	10,949,511,800	46,729,784,300
DSH	154,370,000	161,973,800	160,613,000	160,613,000	160,613,000	798,182,800
Total With Waiver Expenditures	8,281,145,400	8,299,787,500	9,374,007,100	10,462,902,300	11,110,124,800	47,527,967,100
With Waiver Expenditure PMPMs						
TANF/SOBRA	291.62	285.04	320.19	327.49	340.85	
SSI	699.45	667.86	761.24	778.18	808.19	
AC	573.60	559.29	696.05	-	-	
ALTCS-EPD	3,179.70	3,212.95	3,561.06	3,631.81	3,787.74	
ALTCS-DD	3,201.33	3,233.03	3,346.58	3,413.75	3,509.05	
Family Planning Extension	16.60	17.63	13.01	13.40	14.07	
Expansion State Adults	-	-	581.16	596.95	615.07	
Budget Neutrality Variance	4,467,596,900	4,743,081,200	5,601,036,800	6,798,433,000	7,503,262,000	29,113,409,900
Cumulative Variance	4,467,596,900	9,210,678,100	14,811,714,900	21,610,147,900	29,113,409,900	
Variance by Waiver Group						
TANF/SOBRA	3,438,637,700	3,844,919,000	4,179,975,500	4,899,704,500	5,325,944,400	21,689,181,100
SSI	362,557,900	534,590,000	475,275,100	587,355,300	680,897,900	2,640,676,200
AC	-	-	-	-	-	-
ALTCS-EPD	534,318,900	610,428,100	577,440,300	659,194,300	718,217,300	3,099,598,900
ALTCS-DD	506,949,100	609,910,300	691,179,500	803,710,200	919,487,500	3,531,236,600
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	-	-	-	-	-	-
AI/AN Uncompensated Care	(22,866,700)	(119,766,200)	(40,833,600)	(13,947,500)	(3,486,900)	(200,900,900)
SNCP/DSHP	(352,000,000)	(352,000,000)	(83,000,000)	-	-	(787,000,000)
City of Phoenix SNCP	-	(385,000,000)	(96,250,000)	-	-	(481,250,000)
HPE Serv for Preg Women	-	-	-	(262,500)	(350,000)	(612,500)
Tuba City	-	-	-	(321,300)	(448,200)	(769,500)
PCH SNCP	-	-	(102,750,000)	(137,000,000)	(137,000,000)	(376,750,000)
	4,467,596,900	4,743,081,200	5,601,036,800	6,798,433,000	7,503,262,000	29,113,409,900

Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
Total Funds - All Populations excluding Newly Eligible Adults
For the Period October 1, 2016 - September 30, 2021
Revised September 2015

	Estimate 2017 DY 1	Estimate 2018 DY 2	Estimate 2019 DY 3	Estimate 2020 DY 4	Estimate 2021 DY 5	Total
Without Waiver Expenditure Limit Calculation						
Member Months						
TANF/SOBRA	14,448,213	14,737,177	15,031,921	15,332,559	15,639,210	75,189,080
SSI	2,243,115	2,287,977	2,333,737	2,380,412	2,428,020	11,673,261
AC	-	-	-	-	-	-
ALTCS-EPD	363,644	370,917	378,335	385,902	393,620	1,892,418
ALTCS-DD	346,677	353,611	360,683	367,897	375,255	1,804,123
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	2,751,979	2,807,019	2,863,159	2,920,422	2,978,830	14,321,409
Combined	20,153,628	20,556,701	20,967,835	21,387,192	21,814,935	104,880,291
Without Waiver PMPM						
TANF/SOBRA	754.13	793.35	834.61	878.02	923.69	838.44
SSI	1,184.89	1,255.99	1,331.36	1,411.25	1,495.94	1,338.97
AC	-	-	-	-	-	-
ALTCS-EPD	6,104.02	6,421.43	6,755.35	7,106.63	7,476.18	6,786.31
ALTCS-DD	6,587.26	6,982.50	7,401.45	7,845.54	8,316.28	7,443.72
Family Planning Extension	14.77	15.51	16.29	17.10	17.96	-
Expansion State Adults	633.16	651.78	670.95	690.69	711.00	672.29
Weighted	982.43	1,033.53	1,087.34	1,144.03	1,203.74	1,092.40
Without Waiver Expenditure Limit						
TANF/SOBRA	10,895,830,900	11,691,739,400	12,545,791,600	13,462,293,500	14,445,781,900	63,041,437,300
SSI	2,657,844,500	2,873,676,200	3,107,044,100	3,359,356,400	3,632,172,200	15,630,093,400
AC	-	-	-	-	-	-
ALTCS-EPD	2,219,690,200	2,381,817,600	2,555,785,300	2,742,462,700	2,942,774,000	12,842,529,800
ALTCS-DD	2,283,651,500	2,469,088,800	2,669,577,200	2,886,350,600	3,120,725,700	13,429,393,800
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	1,742,441,200	1,829,563,300	1,921,041,500	2,017,093,600	2,117,948,300	9,628,087,900
Total	19,799,458,300	21,245,885,300	22,799,239,700	24,467,556,800	26,259,402,100	114,571,542,200
DSH Allotment	161,410,100	161,410,100	161,410,100	161,410,100	161,410,100	807,050,500
Total Without Waiver Expenditure Limi	19,960,868,400	21,407,295,400	22,960,649,800	24,628,966,900	26,420,812,200	115,378,592,700
With Waiver Expenditures						
TANF/SOBRA	5,069,583,800	5,323,063,000	5,589,216,200	5,868,677,000	6,162,110,900	28,012,650,900
SSI	1,866,180,000	1,959,489,000	2,057,463,500	2,160,336,700	2,268,353,500	10,311,822,700
AC	-	-	-	-	-	-
ALTCS-EPD	1,417,901,600	1,488,796,700	1,563,236,500	1,641,398,300	1,723,468,200	7,834,801,300
ALTCS-DD	1,252,286,800	1,314,901,100	1,380,646,200	1,449,678,500	1,522,162,400	6,919,675,000
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	1,742,441,200	1,829,563,300	1,921,041,500	2,017,093,600	2,117,948,300	9,628,087,900
AI/AN Uncompensated Care	3,661,200	3,844,300	4,036,500	4,238,300	4,450,200	20,230,500
SNCP/DSHP	-	-	-	-	-	-
City of Phoenix SNCP	-	-	-	-	-	-
HPE Serv for Preg Women	367,500	385,900	405,200	425,500	446,800	2,030,900
Tuba City	470,600	494,100	518,800	544,700	571,900	2,600,100
PCH SNCP	96,750,000	75,000,000	55,000,000	31,250,000	6,250,000	264,250,000
Expenditure Subtotal	11,449,642,700	11,995,537,400	12,571,564,400	13,173,642,600	13,805,762,200	62,996,149,300
DSH	161,410,100	161,410,100	161,410,100	161,410,100	161,410,100	807,050,500
Total With Waiver Expenditures	11,611,052,800	12,156,947,500	12,732,974,500	13,335,052,700	13,967,172,300	63,803,199,800
With Waiver Expenditure PMPMs						
TANF/SOBRA	350.88	361.20	371.82	382.76	394.02	
SSI	831.96	856.43	881.62	907.55	934.24	
AC	-	-	-	-	-	
ALTCS-EPD	3,899.15	4,013.83	4,131.88	4,253.41	4,378.51	
ALTCS-DD	3,612.26	3,718.50	3,827.87	3,940.45	4,056.34	
Family Planning Extension	-	-	-	-	-	
Expansion State Adults	633.16	651.78	670.95	690.69	711.00	
Budget Neutrality Variance	8,349,815,600	9,250,347,900	10,227,675,300	11,293,914,200	12,453,639,900	51,575,392,900
Cumulative Variance	8,349,815,600	17,600,163,500	27,827,838,800	39,121,753,000	51,575,392,900	
Variance by Waiver Group						
TANF/SOBRA	5,826,247,100	6,368,676,400	6,956,575,400	7,593,616,500	8,283,671,000	35,028,786,400
SSI	791,664,500	914,187,200	1,049,580,600	1,199,019,700	1,363,818,700	5,318,270,700
AC	-	-	-	-	-	-
ALTCS-EPD	801,788,600	893,020,900	992,548,800	1,101,064,400	1,219,305,800	5,007,728,500
ALTCS-DD	1,031,364,700	1,154,187,700	1,288,931,000	1,436,672,100	1,598,563,300	6,509,718,800
Family Planning Extension	-	-	-	-	-	-
Expansion State Adults	-	-	-	-	-	-
AI/AN Uncompensated Care	(3,661,200)	(3,844,300)	(4,036,500)	(4,238,300)	(4,450,200)	(20,230,500)
SNCP/DSHP	-	-	-	-	-	-
City of Phoenix SNCP	-	-	-	-	-	-
HPE Serv for Preg Women	(367,500)	(385,900)	(405,200)	(425,500)	(446,800)	(2,030,900)
Tuba City	(470,600)	(494,100)	(518,800)	(544,700)	(571,900)	(2,600,100)
PCH SNCP	(96,750,000)	(75,000,000)	(55,000,000)	(31,250,000)	(6,250,000)	(264,250,000)
	8,349,815,600	9,250,347,900	10,227,675,300	11,293,914,200	12,453,639,900	51,575,392,900

**Arizona Health Care Cost Containment System
 Budget Neutrality Status by Federal Fiscal Year
 NEWLY ELIGIBLE ADULTS
 For the Period January 1, 2014 - September 30, 2016
 December 10, 2013**

Without Waiver	Estimate	Estimate	Estimate	
Expenditure Limit Calculation	2014	2015	2016	
Member Months	DY 3	DY 4	DY 5	Total
Newly Eligible Adults	301,756	705,025	721,078	1,727,859
Without Waiver PMPM				
Newly Eligible Adults	578.54	605.73	634.20	612.86
Without Waiver Expenditure Limit				
Newly Eligible Adults	174,576,700	427,054,800	457,308,000	1,058,939,500
With Waiver Expenditures				
Newly Eligible Adults	174,576,700	427,054,800	457,308,000	1,058,939,500
With Waiver Expenditure PMPMs				
Newly Eligible Adults	578.54	605.73	634.20	
Budget Neutrality Variance	-	-	-	-
Cumulative Variance	-	-	-	-

Arizona Health Care Cost Containment System
Budget Neutrality Status by Federal Fiscal Year
NEWLY ELIGIBLE ADULTS
For the Period October 1, 2016 - September 30, 2021
September 2015 Draft

	Estimate 2017 DY 1	Estimate 2018 DY 2	Estimate 2019 DY 3	Estimate 2020 DY 4	Estimate 2021 DY 6	Total
Without Waiver						
Expenditure Limit Calculation						
Member Months						
Newly Eligible Adults	735,500	750,210	765,214	780,519	796,129	2,250,924
Without Waiver PMPM						
Newly Eligible Adults	664.01	695.22	727.89	762.10	797.92	696.13
Without Waiver Expenditure Limit						
Newly Eligible Adults	488,377,500	521,557,800	556,992,500	594,834,600	635,247,600	1,566,927,800
With Waiver Expenditures						
Newly Eligible Adults	488,377,500	521,557,800	556,992,500	594,834,600	635,247,600	1,566,927,800
With Waiver Expenditure PMPMs						
Newly Eligible Adults	664.01	695.22	727.89	762.10	797.92	
Budget Neutrality Variance	-	-	-	-	-	-
Cumulative Variance	-	-	-	-	-	-

ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM
BUDGET NEUTRALITY STATUS BY FEDERAL FISCAL YEAR
TOTAL FUNDS
FOR THE PERIOD OCTOBER 1, 2016 TO SEPTEMBER 30, 2021
ALL POPULATIONS

NOTES AND ASSUMPTIONS:

1) Baseline population and expenditure information for the new waiver period is the Demonstration Year 5 (FFY 2016) figures provided by AHCCCS on September 4, 2015 as part of the Safety Net Care Pool transition plan.

2) All population growth is assumed to be 2% annually.

3) Without waiver PMPMs are grown at the levels currently included in the existing waiver.

TANF/SOBRA	5.2%
SSI	6.0%
ALTCS EPD	5.2%
ALTCS DD	6.0%

4) In accordance with STC 62, a, iii, the without waiver pmpms for the Expansion State Adult group has been set equal to the with waiver expenditure pmpms such that the state may not derive savings/loss from this "pass-through" or "hypothetical State plan" population.

5) In accordance with STC 63, the new adult group is tracked seperately for budget neutrality. Like the Expansion State Adult group, the state may not derive savings/loss from this population.

6) Disproportionate Share allotment based on AHCCCS FFY15 estimate held constant. Does not incorporate projected national DSH allotment reductions.

7) With waiver expenditure growth is assumed to be 5% annually.

8) PCH SNCP is phased down in accordance with the waiver request. Note that the amounts in the waiver presentation are calendar year and have been adjusted to FFY in this model.

9) Assumes waiver period 2017-2021 represents a new waiver rather than renewal, therefore, 2016 ending positive variance is not carried forward.

10) All amounts are Draft and Subject to change. A full Budget Neutrality Update based on the AHCCCS SFY 2017 Budget Submittal is forthcoming.