

June 30, 2020

Brian Zolynas
Division of Medicaid and Children's Health Operations
U.S. Department of Health & Human Services
Centers for Medicare & Medicaid Services
90 Seventh Street, Suite 5-300 (5W)
San Francisco, CA 94103-6707

RE: Arizona SPA #20-008, Tribal Dental Limit

Dear Mr. Zolynas:

Enclosed is State Plan Amendment (SPA) #20-008, Tribal Dental Limit, which revises the State Plan to remove the \$1000 dental benefit limit for members served in IHS/Tribal facilities as passed in House Bill 2244, effective October 1, 2020. Please utilize the following links for information regarding Tribal Consultation and public notice requirements:

Tribal Consultation:

 $\frac{https://www.azahcccs.gov/AmericanIndians/Downloads/Consultations/Meetings/2020/05072020}{Presentation.pdf}$

Public Notice:

https://www.azahcccs.gov/AHCCCS/PublicNotices/Tribal-Dental-Benefit.html

If you have any questions about the enclosed SPA, please contact Alex Demyan at (602) 417-4130.

Sincerely,

Dana Flannery Assistant Director

Arizona Health Care Cost Containment System (AHCCCS)

TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	2. STATE
STATE PLAN MATERIAL	20-008	Arizona
FOR: Centers for Medicare and Medicaid Services	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
CENTERS FOR MEDICARE AND MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES	October 1, 2020	
5. TYPE OF PLAN MATERIAL (Check One):		
□ NEW STATE PLAN □ AMENDMENT TO BE CONSIDERED AS NEW PLAN △ AMENDMENT		
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment) 6. FEDERAL STATUTE/REGULATION CITATION: 7. FEDERAL BUDGET IMPACT:		
6. FEDERAL STATUTE/REGULATION CITATION:	/. FEDERAL BUDGET IMPACT:	
42 CFR Part 447	FFY 2020: \$0	
	FFY 2021: \$47,200	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable):	
Attachment 3.1-A, Page 8	Attachment 3.1-A, Page 8	
10. SUBJECT OF AMENDMENT:		
To remove the \$1000 dental benefit limit for members served in IHS/Tribal facilities as passed in House Bill 2244.		
11. GOVERNOR'S REVIEW (Check One): ☐ GOVERNOR'S OFFICE REPORTED NO COMMENT ☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED ☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL	☐ OTHER, AS SPECIFIED:	
12. SIGNATURE OF STATE AGENCY OFFICIAL:	16. RETURN TO:	
attended to the second of the	Dana Flannery 801 E. Jefferson, MD#4200 Phoenix, Arizona 85034	
13. TYPED NAME:		
Dana Flannery		
14. TITLE: Assistant Director		
15. DATE SUBMITTED:		
June 30, 2020		
FOR REGIONAL OFFICE USE ONLY		
17. DATE RECEIVED:	18. DATE APPROVED:	
PLAN APPROVED – ONE COPY ATTACHED		
19. EFFECTIVE DATE OF APPROVED MATERIAL:	20. SIGNATURE OF REGIONAL OFFICIAL:	
21. TYPED NAME:	22. TITLE:	
23. REMARKS:		

INSTRUCTIONS FOR COMPLETING FORM CMS-179

Use Form CMS-179 to transmit State plan material to the regional office for approval. A separate <u>typed</u> transmittal form should be completed for each plan/amendment submitted.

- **Block 1 -Transmittal Number** Enter the State Plan Amendment transmittal number. Assign consecutive numbers on a **calendar year** basis (e.g., 92-001, 92-002, etc.).
- Block 2 State -Type the name of the State submitting the plan material.
- Block 3 Program Identification Title XIX of the Social Security Act (Medicaid).
- Block 4 Proposed Effective Date Enter the proposed effective date of material.
- Block 5 Type of Plan Material Check the appropriate box.
- Block 6 Federal Statute/Regulation Citation Enter the appropriate statutory/regulatory citation.
- Block 7 Federal Budget Impact 7(a) Enter 1st Federal Fiscal Year (FFY) impacted by the SPA & estimated Federal share of the cost of the SPA (in thousands) for 1st FFY. 7(b) Enter 2nd FFY impacted by the SPA & estimated Federal share of the cost for 2nd FFY. See SMM section 13026.
- Block 8 Page No.(s) of Plan Section or Attachment Enter the page number(s) of plan material transmitted. If additional space is needed, use bond paper.
- Block 9 Page No.(s) of the Superseded Plan Section or Attachment (if Applicable) Enter the page number(s) (including the transmittal sheet number) that is being superseded. If additional space is needed, use bond paper.
- Block 10 Subject of Amendment Briefly describe plan material being transmitted.
- Block 11 Governor's Review Check the appropriate box. See SMM section 13026 B.
- Block 12 Signature of State Agency Official -Authorized State official signs this block.
- Block 13 -Typed Name -Type name of State official who signed block 12.
- Block 14 -Title -Type title of State official who signed block 12.
- **Block 15 Date Submitted Enter the date you mail plan material to RO.**
- Block 16 Return To -Type the name and address of State official to whom this form should be returned.
- Block 17-23 (FOR REGIONAL OFFICE USE ONLY).
- Block 17 Date Received Enter the date plan material is received in RO. See ROM section 6003.2.
- Block 18 Date Approved Enter the date RO approved the plan material.
- Block 19 Effective Date of Approved Material Enter the date the plan material becomes effective. If more than one effective date, list each provision and its effective date in Block 23 or attach a sheet.
- Block 20 Signature of Regional Official -Approving RO official signs this block.
- **Block 21 -Typed Name** -Type approving official's name.
- Block 22 -Title -Type approving official's title.
- **Block 23 Remarks** Use this block to reference pen and ink changes, a partial approval, more than one effective date, etc. If additional space is needed, use bond paper.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB number for this information collection is 0938-0193. The time required to complete this information collection is estimated to average 1 hour per response, including the time to review instructions, searching existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, Attr. PRA Reports Clearance Officer, 7500 Security Boulevard, Baltimore, Marvland 21224-1850.

Behavioral health services provided in a clinic include individual, group and/or family counseling/therapy, psychotropic medications, psychotropic medication adjustment and monitoring, emergency/crisis services, behavior management, psychosocial rehabilitation, screening, evaluation and diagnosis, case management services, laboratory and radiology services. The duration, scope and frequency of each therapeutic modality shall be part of a treatment plan.

Screening services are limited to no more than one service during each six-month period of continuous behavioral health enrollment.

10. Dental services.

Dental services are limited to (1) the elimination of oral infections and the treatment of oral disease, which includes dental cleanings, treatment of periodontal disease, medically necessary extractions and the provision of simple restorations as a medically necessary pre-requisite to organ transplantation, (2) prophylactic extraction of teeth in preparation for radiation treatment of cancer of the jaw, neck or head, and (3)emergency dental services and extractions not to exceed \$1000 annually per member.

For services provided to individuals in an IHS or 638 facility, adult dental services that are eligible for a federal medical assistance percentage of 100% and that are in excess of the \$1000 adult emergency dental limit may be covered.

11. Physical therapy and related services.

Physical therapies and related services as described in 11a, 11b and 11c for persons 21 years of age and older when a treatment plan demonstrates potential to prevent deterioration, or to assist an individual to maintain or regain a skill or function, or attain a skill or function never learned or acquired, or acquired and then lost or impaired, due to illness, injury or disabling condition. The duration, scope and frequency of each therapeutic modality must be prescribed by and documented in the treatment plan. Assessment, evaluation, and treatment services are included as part of this benefit.

Therapies and related services for persons under the age of 21 are covered without limitation. Providers meet the applicable requirements at 42 CFR 440.110.

11a. Physical therapy.

Physical therapy services are provided to prevent or alleviate movement dysfunction and related functional problems. For individuals over the age of 21, out-patient physical therapy is limited to 15 visits per contract year to restore an individual to a particular skill or function and 15 visits per contract year to assist an individual to maintain a skill or function, or attain a skill or function never learned or acquired. A "visit" is defined as all physical therapy services received on the same day.

Physical therapy services are provided by: 1) State-licensed physical therapists; and 2) state-licensed physical therapy assistants under the direction of State-licensed physical therapists. In addition, physical therapy services must and meet the requirements in 42 CFR 440.110.

11b. Occupational therapy.

Occupational Therapy services are provided to improve, or restore functions impaired or lost through illness or injury. For individuals over the age of 21, outpatient occupational therapy is limited to 15 visits per contract year to restore an individual to a particular skill or function and 15 visits per contract year to assist an individual to maintain a skill or function, or attain a skill or function never learned or acquired. A "visit" is defined as all occupational therapy services received on the same day. Members enrolled in the ALTCS program receive services provided under the 1115 Waiver.

Occupational Therapy services are provided by: 1) State-licensed occupational therapists; and 2) certified occupational therapy assistants under the direction of State-licensed occupational therapists and meet the requirements in 42 CFR 440.110.

TN No. 20-008