

Provider Type Risk Category for Provider Registration as designated by CMS/OIG
Final Rule Federal Register 2/2/2011

Limited Risk--Provider Types	Proposed Screening Tools
<p>Medicare & Medicaid:</p> <ul style="list-style-type: none"> • Physician or non-physician practitioners (including nurse practitioners, CRNAs, occupational therapists, speech/language pathologists, and audiologists) and medical groups or clinics • Ambulatory surgical centers • End-stage renal disease facilities • Federally qualified health centers • Histocompatibility laboratories • Hospitals, including critical access hospitals, Department of Veterans Affairs hospitals, and other federally owned hospital facilities • Health programs operated by an Indian Health Program (as defined in section 4(12) of the Indian Health Care Improvement Act) or an urban Indian organization (as defined in section 4(29) of the Indian Health Care Improvement Act) that receives funding from the Indian Health Service pursuant to Title V of the Indian Health Care Improvement Act • Mammography screening centers • Mass immunization roster billers • Organ procurement organizations • Pharmacies newly enrolling or revalidating • Radiation therapy centers • Religious non-medical health care institutions • Rural health clinics • Skilled nursing facilities <hr style="width: 25%; margin-left: 0;"/> • Medicaid only providers as determined by State Medicaid Agency 	<p>Verification of compliance with applicable federal regulations or state requirements for the provider or supplier type</p> <p>Licensure verification (including licensure verifications across State lines for physicians or non-physician practitioners and providers and suppliers that obtain or maintain Medicare billing privileges as a result of State licensure, including State licensure in States other than where the provider or supplier is enrolling)</p> <p>Pre and post-enrollment database checks to verify: SSN NPI National Practitioner Databank Licensure OIG exclusion Tax ID number Tax delinquency Death of practitioner Persons with ownership or controlling interest in provider or supplier, agents or managing employees of provider or supplier</p>

Note: Medicare classifies providers as “providers of services,” “institutional providers,” “certified providers,” “certified suppliers, and “suppliers.” For Medicaid and CHIP the terms “providers,” “Medicaid providers” or “CHIP providers.” For Medicaid or CHIP the term supplier has not meaning. With regard to the provider and assignment of risk category for Medicaid, the Agency needs to mirror the Medicare provider list and /or find the equivalent service. Where there is no equivalent the Agency must establish the category for the provider. (Federal Register/ vol.76 #22 2/2/11-preamble p. 5865)

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Moderate Risk—Provider Types	Proposed Screening Tools
<p>Medicare & Medicaid:</p> <ul style="list-style-type: none"> • Ambulance service suppliers • Community mental health centers • Comprehensive outpatient rehabilitation facilities • Hospice organizations • Independent clinical laboratories • Independent diagnostic testing facilities • Physical therapists enrolling as individuals or as group practices • Portable x-ray suppliers • Revalidating home health agencies • Revalidating DMEPOS suppliers <hr/> <p>Medicaid only providers as determined by State Medicaid Agency</p>	<p>All tools that apply to “Limited Risk” providers and suppliers</p> <p>AND</p> <p>Unannounced pre- and/or post-enrollment site visits</p>

High Risk—Provider Types	Proposed Screening Tools
<p>Medicare & Medicaid:</p> <p>Prospective (newly enrolling) home health agencies</p> <p>Prospective (newly enrolling) DMEPOS suppliers</p> <hr/> <p>Medicaid only providers as determined by State Medicaid Agency</p>	<p>All tools that apply to “Moderate Risk” providers and suppliers</p> <p>AND</p> <p>Requires the submission of a set of fingerprints for a national background check from all individuals who maintain a 5 percent or greater direct or indirect ownership interest in the provider or supplier; and</p> <p>Conducts a fingerprint-based criminal history record check of the Federal Bureau of Investigation’s Integrated Automated Fingerprint Identification System on all individuals who maintain a 5 percent or greater direct or indirect ownership interest in the provider or supplier.</p> <p>NOTE: Fingerprints and Background checks Implementation delayed until 60 days after the final rule is posted specific to fingerprints and background checks: (Preamble p. 5906) “Accordingly, we will continue to seek comment limited to our implementation of the fingerprinting provisions contained in § 424.518 and § 455.434 of this rule.”</p>

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